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Robust Object Tracking via Locality Sensitive Histograms

Shengfeng He, *Member, IEEE*, Rynson W. H. Lau, *Senior Member, IEEE*, Qingxiong Yang, *Member, IEEE*, Jiang Wang, and Ming-Hsuan Yang, *Senior Member, IEEE*

Abstract—This paper presents a novel locality sensitive histogram (LSH) algorithm for visual tracking. Unlike the conventional image histogram that counts the frequency of occurrence of each intensity value by adding ones to the corresponding bin, an LSH is computed at each pixel location, and a floating-point value is added to the corresponding bin for each occurrence of an intensity value. The floating-point value exponentially reduces with respect to the distance to the pixel location where the histogram is computed. An efficient algorithm is proposed that enables the LSHs to be computed in time linear in the image size and the number of bins. In addition, this efficient algorithm can be extended to exploit color images. A robust tracking framework based on the LSHs is proposed, which consists of two main components: a new feature for tracking that is robust to illumination change and a novel multiregion tracking algorithm that runs in real time even with hundreds of regions. Extensive experiments demonstrate that the proposed tracking framework outperforms the state-of-the-art methods in challenging scenarios, especially when the illumination changes dramatically. Evaluation using the latest benchmark shows that our algorithm is the top performer.

Index Terms—Illumination invariant, locality sensitive histograms (LSHs), multiregion tracking, visual tracking.

I. INTRODUCTION

HISTOGRAMS are one of the most important statistical tools for an image analysis and widely used in various applications. One application is to model object appearance for visual tracking. The main issue of robust visual tracking is to handle appearance changes of the target object. While numerous algorithms have been proposed with demonstrated success, it remains a challenging task to develop a tracking algorithm that is both accurate and efficient. In order to address the challenging factors of appearance changes in visual tracking, various features and models have been proposed to represent target objects.

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In this paper, we focus on handling illumination variations and occlusions problems with a multiregion representation. We propose a novel locality sensitive histogram (LSH) algorithm that considers the contributions from every pixel in an image instead of from pixels inside a local neighborhood only like the local histogram algorithm. It operates in a way similar to the conventional image histograms. However, instead of counting the frequency of occurrences of each intensity value by adding ones to the corresponding bin, a floating-point value is added to the bin for each occurrence of the intensity value. The floating-point value exponentially reduces with respect to the distance to the pixel location where the LSH is computed. Thus, the proposed histogram is more suitable for applications, such as visual tracking, which assigns lower weights to pixels farther away from the target center (as these pixels are more likely to contain background information or occluding objects, and hence their contributions to the histogram should be reduced).

The proposed histogram has an $O(NB)$ complexity, where N is the number of pixels and B is the number of bins. This facilitates a framework for real-time object tracking. In addition, we show that the proposed histogram can be efficiently applied to color images. The proposed tracking framework effectively deals with drastic illumination change by extracting dense illumination invariant features (IIFs) using the proposed LSHs. It also handles significant pose and scale variation, occlusion and visual drifts, out-of-plane rotation and abrupt motion, and background clutters with the use of a novel multiregion tracking algorithm. Unlike existing multiregion trackers that need to represent a target object with a limited number of nonoverlapping regions due to the use of rectangular local histograms, the proposed tracking algorithm efficiently describes a target object with a large number of overlapping regions using the LSHs, which consider the contributions from every pixel adaptively. This unique property facilitates robust multiregion tracking, and the efficiency of the LSHs enables the proposed algorithm to track a target object in real time. Evaluations are conducted on two data sets. The first data set contains 20 image sequences to examine the proposed method using color and IIFs. We then use a recent benchmark data set [35] with 50 image sequences to evaluate the proposed tracker using color and IIFs, showing that it outperforms the state of the art.

The main contributions of this paper are as follows.

- 1) We propose a novel histogram that takes contributions from every image pixel into account and an algorithm to compute intensity and color histograms efficiently.

- 2) We propose an efficient IIF for visual tracking.
- 3) We propose a multiregion tracking algorithm that outperforms state-of-the-art methods both in terms of accuracy and speed.

The rest of this paper is organized as follows. We first discuss the related works on visual tracking, and put this work in proper context in Section II. Sections III and IV present the proposed LSHs and novel IIFs for visual tracking. A multiregion tracking algorithm based on the proposed LSHs is presented in Section V. Section VI summarizes our evaluation experiments. In Section VII, we conclude this paper with remarks on our future work.

A preliminary version of this paper was presented in [13]. This paper revises on the preliminary version in the following main ways. First, we comprehensively review the most related tracking algorithms in Section II. Second, we extend the proposed LSH to color images in an efficient manner in Section III-B. Third, we evaluate the proposed trackers with more experimental validations and analyses in Section VI-A. Finally, we compare the proposed trackers on the latest benchmark [35] using the evaluation metrics proposed in the benchmark [35] and the latest survey paper [31] in Section VI-B.

II. RELATED WORK

In this section, we briefly review the most related algorithms on tracking. Comprehensive literature review on this topic can be found in [31] and [36].

Tracking methods often fail in the presence of large illumination change, heavy occlusion, pose variation, and motion blur. Different features or models have been used to address these problems.

Illumination variation may drastically change object appearances. It has been shown that an object taken at the same pose but under different illumination conditions cannot be uniquely identified as being the same object or different ones [17]. To deal with this problem, numerous methods have been proposed based on IIFs [7]. Early visual tracking methods represent objects with contours [16] with success when the brightness constancy assumption holds. The eigentracking algorithm [6] operates on the subspace constancy model learned from a set of training images to account for appearance change. Recently, Harr-like features and online subspace models have been used in object tracking to deal with large lighting variation [3], [4], [20], [32]. However, these methods typically entail time-consuming operations due to the use of mixture models or optimization formulations.

Occlusion is mainly handled by multiregion representations. The fragment-based tracking method (Frag) [1] divides the target object into several regions and represents them with multiple local histograms. The possible positions of each patch are voted and combined to determine the target object movement. However, computing multiple local histograms and the vote map can be time consuming even with the integral histogram [25]. As a tradeoff between accuracy and speed, the fragment-based method [1] uses up to 40 regions to represent the target object, causing jitter effects. To account for large appearance changes, recent multiregion trackers combine local

and global representations by adapting region locations to geometric variations rather than using a fixed grid layout [1]. In [24], each target object is modeled by a small number of rectangular blocks, with the spatial configuration being adaptively determined. In [20] and [32], a fixed number of object parts are dynamically updated to account for appearance/shape changes. All these methods achieve better accuracy at the expense of speed.

Other than dealing with specific problems, recent tracking algorithms focus on representation schemes using either discriminative or generative models, to account for target object appearance variations.

Discriminative algorithms consider visual tracking as a binary classification problem to differentiate the foreground region from the background. A classifier is used to estimate the target object location by searching for the maximum classification score within a local neighborhood of the previously known position, and the classifier is usually updated to adapt to appearance change. Avidan [2] introduces a support vector machine (SVM) classifier into an optical flow framework for visual tracking. However, the SVM classifier needs to be trained offline using a large number of examples for a particular class of objects. Grabner *et al.* [11] propose a semi-supervised approach whereby the training samples from the first frame are considered as correctly labeled and all the others as unlabeled within a boosting framework. Babenko *et al.* [3] present an online multiple instance learning (MIL) algorithm for visual tracking, which alleviates the drift problem. Hare *et al.* [12] introduce a structured output regression method for visual tracking by predicting the change in object location across frames. Kalal *et al.* [19] utilize the constraints underlying both labeled and unlabeled data to train a classifier for distinguishing the target object from the background. Gao *et al.* [10] apply transfer learning to assist the final decision by learning prior knowledge on auxiliary examples. In general, if a large number of labeled training examples are available, discriminative tracking approaches tend to perform well. However, online discriminative tracking methods usually do not have a sufficient amount of labeled data at the outset.

Generative algorithms represent a target object in a particular feature space, and search for the best matching score within an image region. In recent years, generative methods with appearance update have been shown to be effective for visual tracking. Existing histogram-based methods [1], [8], [25] use image statistics to account for large appearance change at the expense of losing some spatial information. To address this problem, Birchfield and Rangarajan [5] propose a spatiogram that combines the spatial mean and covariance of each bin. However, this method is less effective for dealing with heavy occlusion. In addition, it entails a high computational cost when using a high order histogram. Other features have been used in generative appearance methods to represent target objects with adaptive update schemes. The incremental subspace visual tracker algorithm [27] represents target objects using a subspace model with incremental learning. Kwon and Lee [21] utilize multiple motion and observation models to account for large appearance change caused by pose and illumination variation. The L1 tracker (LIT) [4]

represents the target object using a sparse linear combination of target templates and trivial templates. Multitask sparse learning [40], [41] and low-rank sparse learning [39] are proposed with the integration of particle filters to exploit the interdependency between particles. This idea is then extended by multitask multiview tracking [15] to utilize the shared information between particles and views. Due to the efficient implementation, kernelized correlation filters (KCFs) [14] are exploited to model natural image translations, resulting in real-time tracking performance.

Despite the demonstrated success, these generative algorithms are less effective when heavy occlusion occurs. Although the multiregion-based method [1] performs well in handling heavy occlusion, the adopted representation based on a number of local histograms is not updated and hence difficult to account for large appearance change simultaneously caused by motion, occlusion, and illumination change. In contrast, the proposed algorithm facilitates the use of hundreds of overlapping regions efficiently to better account for large appearance change in visual tracking. In addition, the input of the traditional multiregion tracker [1] is limited to grayscale images, as the computational cost of the histogram exponentially increases with the number of dimensions. We propose an approach to represent target objects using color histograms in an efficient manner while maintaining the most distinctive information of color images. Four evaluation metrics (proposed in the latest benchmark [35] and survey paper [31]) demonstrate that the proposed method is robust to different challenging factors.

III. LOCALITY SENSITIVE HISTOGRAM

We first briefly introduce the proposed LSH algorithm for grayscale images (a detailed explanation can be found in [13]). We then extend the proposed efficient algorithm to color images.

A. LSHs for Grayscale Images

A local histogram records statistics within a region of a pixel, and is widely used in computer vision, graphics, and multimedia applications. However, for applications, such as visual tracking, pixels further away from the target center should be weighted less as they are more likely to belong to background or occluded regions. Hence, their contributions to the histogram should be reduced. We propose a novel LSH algorithm to address this problem. The LSH, \mathbf{H}_p^E , at pixel p is computed by

$$\mathbf{H}_p^E(b) = \sum_{q=1}^W \alpha^{|p-q|} \cdot Q(\mathbf{I}_q, b), \quad b = 1, \dots, B \quad (1)$$

where $\alpha \in (0, 1)$ is a parameter, controlling the decreasing weight as a pixel moves away from the target center. Same as the local histogram, the computational complexity of the brute-force implementation of (1) is $O(WB)$ per pixel. However, similar to the integral histogram, the proposed LSH can be computed efficiently, when the input image is 1D as

$$\mathbf{H}_p^E(b) = \mathbf{H}_p^{E,\text{left}}(b) + \mathbf{H}_p^{E,\text{right}}(b) - Q(\mathbf{I}_p, b) \quad (2)$$

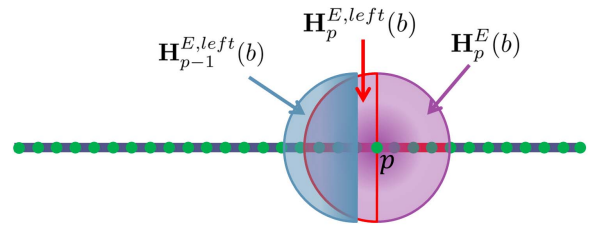


Fig. 1. Illustration of the proposed LSH. The purple region centered at pixel p is the LSH to be computed. We separate the purple region into two parts. The left part (red line) can be computed by the previous left part (blue region) times α , and adding 1 to the corresponding bin of pixel p . The right part can be computed in a similar way.

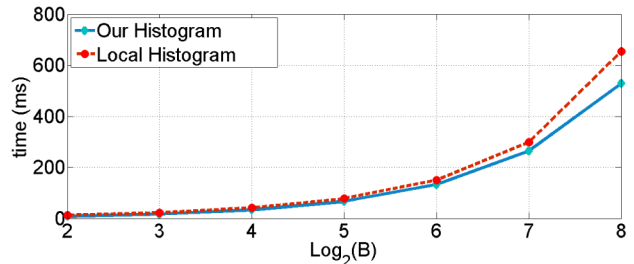


Fig. 2. Speed comparison on a 1-megapixel grayscale image with respect to the logarithm of the number of bins B . The time for computing the local histograms from the integral histograms and for computing the LSHs is very close. Both can be computed in real time, when the number of bins is small. For instance, when $B = 16$, the LSHs can be computed at 30 frames/s.

where

$$\mathbf{H}_p^{E,\text{left}}(b) = Q(\mathbf{I}_p, b) + \alpha \cdot \mathbf{H}_{p-1}^{E,\text{left}}(b) \quad (3)$$

$$\mathbf{H}_p^{E,\text{right}}(b) = Q(\mathbf{I}_p, b) + \alpha \cdot \mathbf{H}_{p+1}^{E,\text{right}}(b). \quad (4)$$

Based on (3) and (4), pixels on the right of pixel p do not contribute to the LSH on the left-hand side $\mathbf{H}_p^{E,\text{left}}$, while pixels on the left of pixel p do not contribute to the LSH on the right-hand side $\mathbf{H}_p^{E,\text{right}}$. The summation of $\mathbf{H}_p^{E,\text{left}}$ and $\mathbf{H}_p^{E,\text{right}}$, however, combines the contribution from all pixels, and the weight of the contribution drops exponentially with respect to the distance to pixel p . Clearly, only B multiplications and B additions are required at each pixel location in order to compute $\mathbf{H}_p^{E,\text{left}}$ (or $\mathbf{H}_p^{E,\text{right}}$). Thus, the computational complexity of the LSH is reduced to $O(B)$ per pixel. A special constraint is that $Q(\mathbf{I}_p, \cdot)$ in (3) and (4) is a B -dimensional vector containing zero values except for the bin corresponding to the intensity value \mathbf{I}_p . Hence, the addition operation in (3) and (4) can be removed except for the bin corresponding to \mathbf{I}_p . The proposed algorithm is shown in Fig. 1.

The algorithm presented in (2)–(4) is derived for 1D images. However, its extension to multidimensional images is straightforward. We simply perform the proposed 1D algorithm separately and sequentially in each dimension. Fig. 2 shows the speed of computing the LSHs for a 1-megapixel 2D image with respect to the logarithm of the number of bins B . Note that the proposed algorithm is as efficient as the integral histogram method [26].

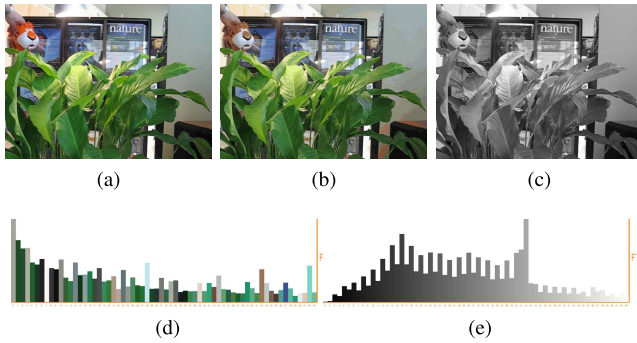


Fig. 3. Quantized image (middle) retains sufficient distinctive colors, even though only 64 bins are used. (a) Original Image. (b) Quantized Image. (c) Grayscale Image. (d) Quantized Histogram. (e) Grayscale Histogram.

B. LSHs for Color Images

While the proposed histogram captures locality intensity information in an efficient manner, intensity may not be distinctive enough for some vision tasks [e.g., object tracking in Fig. 3(c)]. One possible solution is to utilize color information. The color attribute has been shown to be effective in [9]. However, the histogram is known to be inappropriate for use with multidimensional data. The number of bins will increase exponentially when representing a color image in the full color space. Zivkovic and Krose [43] use only the H and S channels from the Hue-Saturation-Value color space for tracking, with an 8×8 histogram. Zhai and Shah [37] use only the luminance channel to reduce the computational cost. Although the number of bins is reduced, some distinctive information may be missing.

As the most representative colors in a natural image are the most frequently occurring ones, and typically cover only a fraction of the full color space, we selectively quantize the full Red-Green-Blue color space for object tracking. For each channel, the colors are first quantized to 12 values, reducing the number of colors to $12^3 = 1728$. Due to the sparsity nature of natural images, only a small portion of the full color space is used to represent an image. As a result, these 1728 colors are further reduced by considering only the most frequently occurring ones. Note that these steps are performed based on the histogram, and a simple histogram-based quantization is used for efficiency. This step is able to significantly reduce the number of colors. The number of colors is set to 64 in all the experiments, and these colors typically cover 80% of the pixels in an image. The remaining pixels are replaced by the closest colors. The corresponding bins are determined by the first frame, and they will not change in the rest of the sequences.

Although this strategy is not suitable for cases with colorful and frequently changing backgrounds, it is robust enough for most of the real world cases in which the object is typically tracked within the same scene throughout the entire tracking process. Fig. 3 shows one example result of the proposed quantization. Even though only 64 bins are used to represent a color image, the visual quality is maintained well. When compared with a grayscale image histogram [Fig. 3(e)], Fig. 3(d) shows that the histogram of the quantized image distributes well to all the bins, and the color differences between bins are distinct. More important for tracking,



Fig. 4. IIFs. (a)–(c) Input images with different illuminations. (d)–(f) Corresponding outputs. These three results are obtained with the same α .

the target object (e.g., tiger in Fig. 3) can be represented more distinctively than using intensity. Color information, when available, is used as one of the features for object tracking in our experiments (Section VI).

IV. ILLUMINATION INVARIANT FEATURES

Images taken under different illumination conditions have drastic effects on object appearance. Under the assumption of affine illumination change, we can synthesize images of the scene presented in Fig. 4(a) with the ones captured under different illumination conditions, as shown in Fig. 4(b) and (c). The intensity (or color) values are obviously not effective for image matching.

We propose a method to extract dense IIFs based on an image transform. Let \mathbf{I}_p and \mathbf{I}'_p be the intensity values of pixel p before and after an affine illumination change. We have

$$\mathbf{I}'_p = \mathcal{A}_p(\mathbf{I}_p) = a_{1,p}\mathbf{I}_p + a_{2,p} \quad (5)$$

where $a_{1,p}$ and $a_{2,p}$ are two parameters of the affine transform \mathcal{A}_p at pixel p .

Let \mathbf{H}_p^S be the histogram computed from a window S_p centered at pixel p , and b_p be the bin corresponding to an intensity value \mathbf{I}_p . According to the definition of the histogram, the number of pixels in S_p whose intensity values fall within $[b_p - r_p, b_p + r_p]$ is

$$\mathcal{I}_p = \sum_{b=b_p-r_p}^{b_p+r_p} \mathbf{H}_p^S(b) \quad (6)$$

where parameter r_p controls the interval of integration at pixel p . If r_p linearly scales with the illumination, such that

$$r'_p = a_{1,p}r_p \quad (7)$$

the integrated value \mathcal{I}'_p obtained under a different illumination condition corresponds to the number of pixels with intensity values falling within $[a_{1,p}b_p + a_{2,p} - a_{1,p}r_p, a_{1,p}b_p + a_{2,p} + a_{1,p}r_p] = [a_{1,p}(b_p - r_p) + a_{2,p}, a_{1,p}(b_p + r_p) + a_{2,p}] = [\mathcal{A}_p(b_p - r_p), \mathcal{A}_p(b_p + r_p)]$. If we ignore the

quantization error, \mathcal{I}'_p is equal to \mathcal{I}_p . Thus, \mathcal{I}_p is independent of affine illumination change and can be used as an invariant feature under different illumination conditions as long as (7) holds. We set

$$r_p = \kappa |\mathbf{I}_p - \bar{\mathbf{I}}_p| \quad (8)$$

where $\kappa = 0.1$ is a constant. $\bar{\mathbf{I}}_p = (1/|S_p|) \sum_{q \in S_p} \mathbf{I}_q$ is the mean intensity value of window S_p . $|S_p|$ is the number of pixels in S_p . With an additional assumption that the affine illumination change is locally smooth so that the transform is the same for all pixels inside window S_p , we have

$$\begin{aligned} r'_p &= \kappa |\mathbf{I}'_p - \bar{\mathbf{I}}_p| \\ &= \kappa \left| a_{1,p} \mathbf{I}_p + a_{2,p} - \frac{1}{|S_p|} \sum_{q \in S_p} (a_{1,p} \mathbf{I}_q + a_{2,p}) \right| \\ &= a_{1,p} \kappa |\mathbf{I}_p - \bar{\mathbf{I}}_p| \\ &= a_{1,p} r_p. \end{aligned} \quad (9)$$

As a result, (7) holds when interval r_p is adaptively obtained from (8).

The proposed illumination invariance holds under the assumption that the affine illumination change is the same for all the pixels from which the traditional histogram is computed. In practice, however, it is inaccurate to define an exact local window inside which the affine illumination transform remains unchanged. We thus need to adopt an approximation by giving higher weights to pixels that are close to the center pixel and vice versa. Hence, we replace histogram \mathbf{H}_p^S in (6) with the proposed LSH \mathbf{H}_p^E , which adaptively considers the contributions from all image pixels. In addition, we use a soft interval to reduce the quantization error, and thus (6) becomes

$$\mathcal{I}_p = \sum_{b=1}^B \exp\left(-\frac{(b-b_p)^2}{2 \max(\kappa, r_p)^2}\right) \cdot \mathbf{H}_p^E(b) \quad (10)$$

where $\bar{\mathbf{I}}_p = \sum_{b=1}^B \mathbf{H}_p^E(b) \cdot b$. Since $a_{2,p}$ is relatively small, r_p can be replaced by $\kappa \mathbf{I}_p$. The invariant features computed from Fig. 4(a)–(c) are presented in Fig. 4(d)–(f). Unlike intensity values, they remain the same even under dramatic illumination change. This converted image forms one of the inputs for the tracking algorithm proposed in Section V (the other input is the quantized color image, when available, as discussed in Section III-B). Note that if IIFs are used, LSHs need to be computed twice: one for extracting IIFs and the other for tracking based on the converted image.

V. MULTIREGION TRACKING

In [1], a multiregion tracking method is proposed based on integral histograms. Although using multiple regions to represent a target object may capture the spatial information of the object (as opposed to tracking methods based on one region) to account for appearance change, it is less effective to use a large number of regions because the incurred computational cost of a local analysis and region-by-region matching is prohibitively high. In this paper, we exploit the proposed LSH for multiregion tracking, which has two major

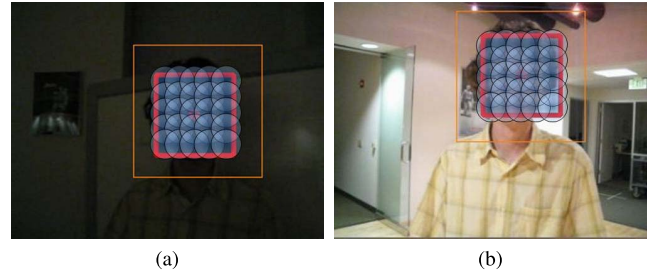


Fig. 5. Multiregion tracking. The target template is manually defined in the first frame. In each subsequent frame, the red rectangle indicates the tracking result, the blue circles show multiple regions of the target object, and the orange rectangle represents the search region. (a) Template. (b) Current frame.

advantages. First, the proposed algorithm is able to represent and track objects using a large number of regions, as matching multiple regions based on the LSHs can be computed rather efficiently. Second, because integral histograms do not support overlapping regions [1], the region size becomes smaller when more regions are used to represent objects. This, in turn, affects the tracking accuracy as insufficient visual information is contained in each region for matching. Since the proposed LSHs support overlapping regions, it is feasible to use a large number of regions with sufficient visual information. As such, the proposed tracking algorithm performs efficiently and accurately. In addition, the use of color (Section III-B) and IIFs (Section IV) facilitates the proposed algorithm achieving robust tracking results.

A. Tracking via Locality Sensitive Histograms

The proposed tracking algorithm represents a target object with multiple overlapping regions where each one describes some local configuration. The spatial relationship of these regions remains fixed and is used for region-to-region matching between the template and the potential target object in the current frame. The spacing between regions depends on the size of the target object and the predefined number of regions.

In the Frag [1], the kernel function is approximated by computing histograms from three rectangular regions of different sizes with different weights. This approximation scheme significantly increases the computational cost. In contrast, a weighting kernel is inherent in the proposed algorithm. The pixels within each region are weighted according to their locations from the region center. This facilitates the proposed algorithm achieving more robust matching results, when the regions are partially occluded. Since object movements are typically nonballistic, object tracking entails only searches within the nearby area of the current target location. Fig. 5 shows one example of the proposed multiregion tracking algorithm, where the blue circles indicate the regions used to describe the target object. For ease of presentation, only a few regions are shown here. The orange rectangle indicates the search region of the current frame.

From the tracking result in the previous frame, we aim to locate the new target location within a search region. Similar to recent tracking-by-detection methods, we perform an exhaustive search within the search region, where every pixel is considered as a candidate target center. Each region at the candidate location is correspondingly matched against

the template to produce a score, based on the earth mover's distance (EMD) [28].

Although the conventional EMD algorithm is computationally expensive, the EMD between two normalized 1D histograms can be computed efficiently with time complexity linear in the number of bins [30]. It is equal to the ℓ_1 -distance between their cumulative histograms [34]. The proposed LSH is normalized as presented in Section III. The distance between histograms can be computed by

$$d(S_1, S_2) = \sum_{b=1}^B |C_1(b) - C_2(b)| \quad (11)$$

where S_1 and S_2 are the corresponding regions of the template (centered at p_1) and the candidate (centered at p_2) in the current frame. In addition, C_1 and C_2 are the cumulative histograms of $\mathbf{H}_{p_1}^E$ and $\mathbf{H}_{p_2}^E$, respectively, defined by $C(b) = \sum_1^b \mathbf{H}_p^E(b)$.

Once the matching scores of all regions at a candidate location are computed, a vote map is obtained. Similar to the Frag, we use the least-median-squares estimator to accumulate all the votes. This scheme has been shown robust to occlusion, since it assumes that at least one quarter of the target object is visible, and the occluded regions are considered as outlier measurements. The new target location is the candidate location with the lowest joint score (as the vote map measures the dissimilarity between regions).

B. Online Template Update

Visual tracking over a long period of time using a fixed template is not effective, as the object appearance is likely to change significantly. It is also likely to cause jitter and drift, as observed by [1]. To address these issues, we update the region histograms of the template incrementally. By using multiple regions for object representation, updating a fraction of them in each frame allows the template to adapt to appearance change and alleviates the tracking drift problem. Once the new target location is determined, the local histograms are updated as follows:

$$H_{p_1}^E(\cdot) = H_{p_2}^E(\cdot) \text{ if } F_1 \cdot M < d(S_1, S_2) < F_2 \cdot M \quad (12)$$

where M is the median distance of all the regions at the new position. F_1 and F_2 are the forgetting factors, which define the appearance model update rate. In general, the regions with high dissimilarity are from the occluders or the background. Hence, we update only the regions with medium dissimilarity. In our implementation, we set the forgetting factors F_1 and F_2 as 0.99 and 1.01, respectively. For a target object with 1000 regions, about 15 of them (1.5%) are updated in each frame.

VI. EXPERIMENTS

We have implemented the proposed tracking method in C language on a desktop computer with an Intel i5 3.3 GHz CPU (using only a single core) and 8 GB RAM. We first evaluate the proposed tracker using color and IIFs in a data set containing 20 sequences. We then use a recent benchmark data set [35] to evaluate the proposed tracker with two different features.

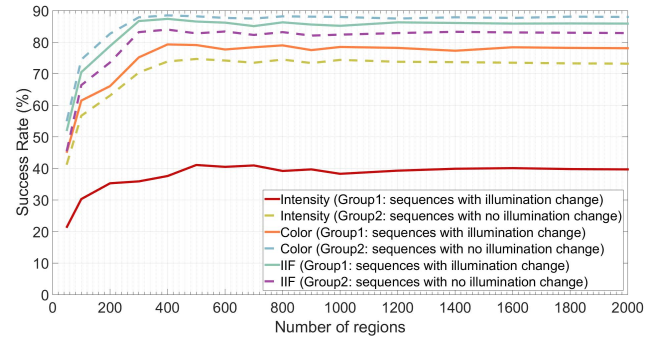


Fig. 6. Success rate (%) of the proposed tracker with respect to different numbers of regions.

In both evaluations, we use the same parameters for all the sequences. Note that some of the sequences are included in both evaluations. These sequences share the same initial target setting. Theoretically, α should be set according to the space between regions. As 400 regions (to be discussed in Section VI-A1) are optimized to represent the target object, α is accordingly set to 0.915 for all the image sequences in our experiments to reduce overlapping between the LSHs. The number of bins is 16 for grayscale (or IIF) LSH, and 64 for color LSH. The searching radius is set to 25 pixels to handle fast motion, and the LSH is computed only within the search region.

A. Evaluation 1: 20 Sequences

The experimental evaluations are carried out using 20 image sequences, which contain challenging factors, including drastic illumination change, pose and scale variation, heavy occlusion, background clutter, and fast motion. The frame sizes range from 300×400 to 800×1000 . We compare the proposed tracking algorithm using IIFs Locality sensitive histograms tracker (LSHT) and Locality sensitive color histograms tracker (LSHT_C) with 17 state-of-the-art methods (using implementations provided by the authors for fair comparisons). Three state-of-the-art multiregion-based methods are evaluated, including the Frag [1], the articulating block and histogram tracker (BHT) [24], and the local-global tracker (LGT) [32]. The other state-of-the-art algorithms include the real-time LIT [4], the super-pixel tracker (SPT) [33], the real-time compressive tracker (CT) [38], the MIL tracker [3], the structured output tracker (Struck) [12], the visual tracking decomposition (VTD) method [21], the tracking-learning-detection (TLD) tracker [19], the distribution field tracker (DFT) [29], the multitask sparse learning tracker (MTT) [40], the sparsity-based collaborative method (SCM) [42], the adaptive structural local sparse appearance (ASLA) method [18], the visual tracker sampler (VTS) [22], the transfer learning-based Gaussian processes regression (TGPR) method [10], and the KCFs method [14]. For the trackers that involve random feature selections, the average scores of five runs are used for evaluations. The source codes, tracking results, and data sets are available at the project page [23].

1) *Quantitative Evaluation*: Two evaluation criteria are used in the conducted experiments: the center location error and

TABLE I

AVERAGE CENTER LOCATION ERRORS (IN PIXELS) OF THE 20 SEQUENCES. THE BEST AND THE SECOND BEST PERFORMING METHODS ARE SHOWN IN RED COLOR AND BLUE COLOR, RESPECTIVELY. THE TOTAL NUMBER OF FRAMES IS 10918. THE ENTRIES IN TLD MARKED WITH ‘--’ INDICATE THAT THEIR VALUES ARE NOT AVAILABLE, AS THE ALGORITHM LOSES TRACK OF THE TARGET OBJECT. THE SEQUENCES WITH ILLUMINATION CHANGES ARE MARKED WITH AN *

Sequence	LSHT	LSHT_C	LIT [4]	SPT [33]	CT [38]	Frag [1]	MIL [3]	Struck [12]	VTD [21]	TLD [19]	BHT [24]	LGT [32]	DFT [29]	MTT [40]	SCM [42]	ASLA [18]	VTS [22]	TGPR [10]	KCF [14]
Basketball	9.5	8.5	14.9	20.0	62.9	25.2	102	165	8.6	--	153	15.2	239	287	51.1	174	62.2	31.6	106
Biker	10.2	8.3	40.4	52.9	26.0	79.8	31.0	12.7	69.4	--	30.1	85.5	46	76.1	13.5	35.8	39.4	13.3	13.0
Bird	8.3	8.6	57.8	14.1	17.1	26.6	17.2	23.9	61.9	--	14.7	15.6	10.9	73.2	63.2	7.2	16.6	35.8	21.2
Board	10.5	11.5	174	52.6	34.7	25.2	35.0	34.6	17.8	--	42.4	36.6	145	50.3	20.4	60.4	8.4	22.8	27.2
Bolt	6.4	6.0	186	72.0	9.0	73.2	8.1	8.4	17.7	--	149	48.6	50.9	9.0	7.2	18.6	76.1	8.0	6.4
Box	12.0	10.9	77.5	169	107	65.8	109	10.6	114.1	--	111	68.9	120	54.8	8.5	49.1	62.6	8.4	12.3
Car*	3.9	7.7	36.8	4.5	38.3	40.2	37.9	6.4	35.3	9.4	156	60.9	39.0	34.1	3.4	21.8	68.0	4.8	5.9
Coupon	5.4	5.4	69.7	6.1	17.1	35.7	18.6	5.7	65.3	7.9	45.7	21.9	5.5	4.5	7.8	5.3	28.9	7.0	6.3
Crowds*	5.3	8.7	15.3	433	351	435	465	5.0	380	--	344	232	4.5	226	75.1	61.1	262	33.7	8.5
David indoor*	10.6	11.2	28.5	29.8	25.8	103	44.1	30.5	64.6	10.4	122	13.4	28.6	10.7	17.8	20.3	28.7	20.5	24.6
Dragon baby	20.0	18.2	72.8	49.7	30.8	51.1	48.6	59.1	42	--	83.1	87	148	78.9	29.6	76.1	50.8	37.6	47.2
Man*	2.1	3.1	2.8	23.1	9.4	48.6	37.7	2.4	20.7	4.4	73.0	24.1	40.0	2.6	3.8	12.0	41.6	3.1	2.6
Motor rolling	13.1	10.8	187	76.2	198	110	178	84	148	--	137	178	170	180	63.8	111	85.9	56.0	61.2
Occluded face 2	4.0	4.0	20.2	37.8	13.2	15.5	15.3	16.4	15.9	11.5	44.5	30.9	23.8	16.8	8.3	15.3	10.3	9.8	12.4
Shaking*	19.5	20.8	36.0	103	41.0	186	15.8	42.3	18.3	--	185	41.4	10.6	41.8	21.2	31.7	148	30.0	36.7
Surfer	7.4	6.8	122	78.2	78.6	150	128	14.6	93.3	5.4	93.3	188.1	143	93.1	23.0	164.4	83.4	16.5	13.4
Sylvester	18.3	14.2	25.4	42.2	5.4	10.4	10.9	5.1	8.1	13.1	11.3	28.8	40.1	6.3	8.1	13.2	10.3	7.0	7.5
Tiger2	8.5	6.8	46.3	85.7	11.9	45.7	7.7	9.8	32.9	--	66.8	27.9	33.6	25.5	9.1	18.9	39.8	10.0	10.3
Trellis*	8.3	11.8	15.5	18.8	67.3	100	65.8	22.9	32.4	--	75.4	16.1	51.3	56.9	11.5	35.7	62.4	9.8	18.5
Woman	6.4	5.7	136	8.9	130	7.3	144	5.5	136	--	71.9	19.3	8.6	154	30.8	43.5	8.3	16.5	7.5
Average	9.49	9.45	68.2	68.7	62.7	81.7	76.6	28.3	69.1	--	101	62.0	68.0	74.0	23.9	48.8	59.7	19.1	22.4

TABLE II

SUCCESS RATES (%) AND THE AVERAGE FPS OF THE 20 SEQUENCES. THE BEST AND THE SECOND BEST PERFORMING METHODS ARE SHOWN IN RED COLOR AND BLUE COLOR, RESPECTIVELY. THE TOTAL NUMBER OF FRAMES IS 10918. THE SEQUENCES WITH ILLUMINATION CHANGES ARE MARKED WITH AN *

Sequence	LSHT	LSHT_C	LIT [4]	SPT [33]	CT [38]	Frag [1]	MIL [3]	Struck [12]	VTD [21]	TLD [19]	BHT [24]	LGT [32]	DFT [29]	MTT [40]	SCM [42]	ASLA [18]	VTS [22]	TGPR [10]	KCF [14]
Basketball	83	88	75	84	32	78	27	2	96	1	20	44	3	3	58	24	62	67	41
Biker	64	75	23	44	34	14	40	49	45	38	46	7	46	44	57	54	45	63	61
Bird	98	95	44	74	53	48	58	48	13	12	71	5	91	13	28	100	71	65	74
Board	95	88	3	47	73	82	76	71	81	16	38	5	23	63	84	61	97	93	90
Bolt	81	87	18	8	66	15	73	76	26	3	6	2	8	68	78	60	36	86	87
Box	86	89	4	8	33	42	18	90	34	60	8	9	37	25	92	60	46	92	91
Car*	92	65	43	73	43	40	38	59	44	58	10	11	43	49	97	54	38	93	82
Coupon	100	100	24	98	58	67	77	100	38	98	58	12	100	100	92	100	75	100	100
Crowds*	81	63	59	7	9	2	4	82	8	16	4	3	85	9	63	60	8	79	81
David indoor*	93	86	41	64	46	35	24	67	32	90	7	24	45	92	77	73	63	88	86
Dragon baby	67	83	16	28	30	35	38	43	33	15	28	4	23	24	58	43	49	63	59
Man*	100	96	98	41	60	21	21	100	31	98	18	8	22	100	91	80	45	100	98
Motor rolling	83	88	5	28	11	24	9	11	6	14	30	1	10	5	55	29	47	59	46
Occluded face 2	100	100	60	22	100	80	94	79	77	76	43	8	49	82	96	78	88	100	97
Shaking*	65	62	13	3	72	7	37	7	82	1	2	18	93	3	58	39	5.1	55	37
Surfer	75	83	1	3	3	2	2	67	2	86	2	1	3	3	61	9	29	75	78
Sylvester	66	73	49	26	70	76	78	87	82	78	81	8	42	89	86	84	65	87	87
Tiger2	66	85	10	3	65	5	77	65	17	26	5	2	21	27	69	50	12	74	73
Trellis*	91	81	67	72	35	18	34	70	54	31	18	2	45	34	84	58	39	91	88
Woman	83	85	8	80	6	71	6	87	5	30	34	4	80	8	69	65	83	77	83
Average	83.4	83.6	33.1	40.7	44.9	38.2	41.6	63.0	40.3	42.4	26.5	8.9	43.5	42.1	72.7	59.1	50.2	80.3	76.9
Average FPS	10.8	5.4	10.2	0.2	34.8	3.5	11.3	13.5	0.5	9.5	3.3	2.8	5.8	1.0	0.6	9.3	6.5	2.8	172

the tracking success rate, both computed against the manually labeled ground truth. The overlapping ratio is computed by $(\text{area}(B_T \cap B_G) / \text{area}(B_T \cup B_G))$ where B_T and B_G are the bounding boxes of the tracker and of the ground truth, respectively. When the overlapping ratio is larger than 0.5, the tracking result of the current frame is considered a success. The success rate indicates the percentage of frames that are tracked with overlapping ratio larger than 0.5.

Fig. 6 shows the tracking performance of our method with respect to different numbers of regions. The curves show the average success rates of the 20 video sequences. We observe that the tracking performance of our method reaches its peak, when the number of regions reaches 400, and thus we use 400 regions in the following experiments.

To demonstrate the effectiveness of the proposed IIFs against intensity values, we divide the 20 sequences into two groups. The first group contains six sequences with large illumination changes (marked with an * in Tables I and II), and the second group includes the other sequences with different challenging factors. We then evaluate the proposed tracking algorithm on the two groups of image sequences using the proposed IIFs, color, and intensity. Fig. 6 shows that the tracking method with the proposed IIF outperforms the one with intensity values not only on sequences with large illumination change, but also on those without. These results indicate that the proposed features are more effective than intensity values. In addition, the effectiveness of color information is also shown in Fig. 6. On the sequences with

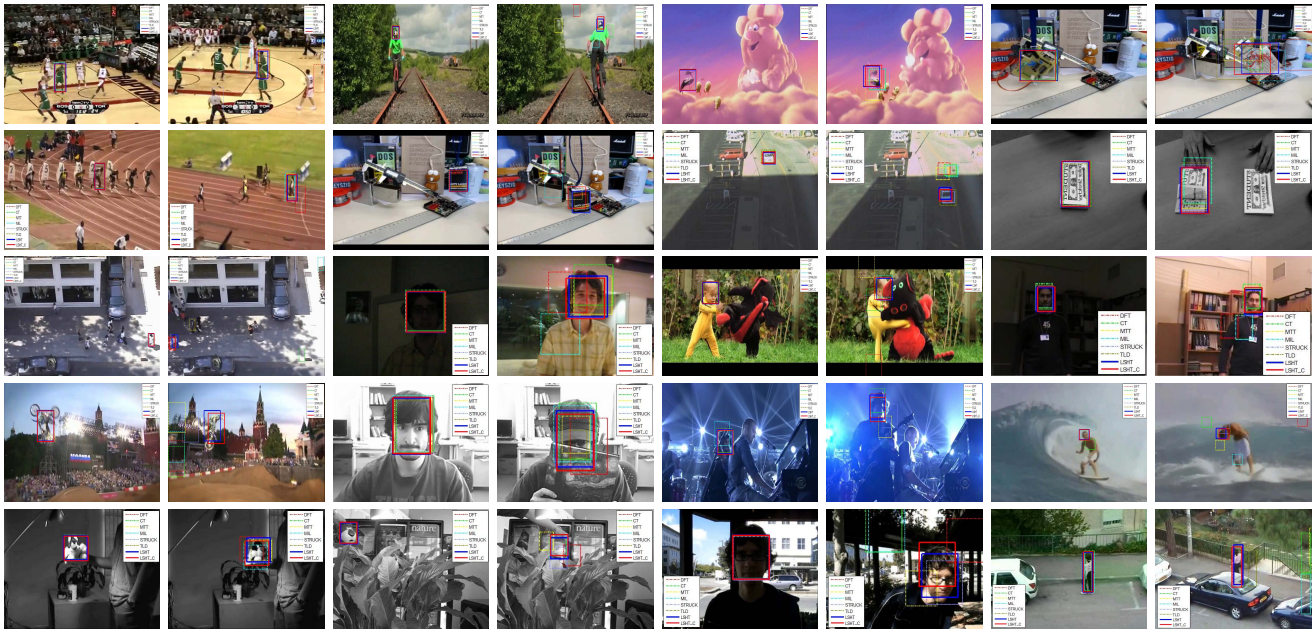


Fig. 7. Screenshots of the visual tracking results. The figures are placed in the same order as Table II. Blue and red solid rectangles: results of the proposed LSHT and LSHT_C. Dark red, green, yellow, azure, purple, and olive dashed rectangles: results from DFT, CT, MTT, MIL, Struck, and TLD, respectively.

illumination change, using color performs much better than intensity because the target object can be better separated from the background with color information. Furthermore, color features outperform all the other features on the sequences without illumination change.

Tables I and II show the tracking performance and the speed (in frame rate) of our LSHT and LSHT_C tracker with the other 17 methods. We note that the TLD tracker does not report results (or bounding boxes) in some frames, and the target objects are redetected. Thus, we only report the center location errors for the sequences that the TLD method does not lose the track of target objects. The proposed tracker favorably performs against the state-of-the-art algorithms as it achieves the best or the second best performance in most sequences using both evaluation criteria. Furthermore, Tables I and II also show the importance of color information. Although LSHT_C performs not as good as LSHT in the sequences with illumination changes (e.g., *Car*, *Crowds*, *David Indoor*, and *Trellis*), the overall performance is better than LSHT. This is because a single channel is difficult to distinguish the target object from background distraction. The target object can be better located with color information in some challenging scenarios, like *Dragon Baby*, *Moter Rolling*, and *Tiger2* sequences. In addition, the proposed tracker is rather efficient with an average of 10.8 frames/s (FPS) for LSHT and 5.4 for LSHT_C. Fig. 7 shows the screenshots of the visual tracking results. For presentation clarity, we show only the results of CT [38], MIL [3], TLD [19], Struck [12], DFT [29], and MTT [40].

2) *Qualitative Evaluation*: We qualitatively evaluate 20 image sequences based on their main challenging factors as follows.

1) *Illumination, Pose, and Scale Variation*: In the *Man*, *Car*, and *Crowds* sequences, the object appearances change drastically due to cast shadows and ambient

lights. Only the LIT, Struck and the proposed LSHT are able to handle the illumination variation well. The proposed LSHT_C does not perform well in *Car* and *Crowds* sequences, due to the sudden change of illumination. The *David Indoor* and *Trellis* sequences contain gradual illumination change and pose variation. We note that in most reported results using both sequences, only a subset of frames are used (i.e., not from the very beginning of the *David Indoor* sequence, when the target object is in complete darkness, or until the very end of the *Trellis* sequence in which the target object undergoes both sudden pose and lighting variations). In contrast, we use the full sequences for better assessment of all trackers. In both sequences, only the proposed LSHT is able to track the targets successfully in most frames (see the recorded videos at the project webpage [23]). This can be attributed to the use of the proposed features, which are insensitive to illumination variation. Note that LSHT_C performs well in these sequences because the proposed online template update mechanism enables the tracker to handle gradual appearance change. Likewise, most of the other trackers do not perform well in the *Shaking* sequence since the object appearance changes drastically due to stage lights and sudden pose change. In addition, the proposed tracker performs well in the *Basketball* and *Bolt* sequences in which the target objects undergo large pose variation.

2) *Occlusion and Drift*: The target objects are partially occluded in the *Bird*, *Occluded Face 2*, and *Woman* sequences. For the *Woman* sequence, the target object encloses the whole body instead of just the upper body used in the Frag [1]. Most trackers do not perform well when the objects are heavily occluded. By exploiting a large number of regions, the relative spatial information among regions is maintained, and thus the proposed

trackers are able to handle occlusion well. Tracking drift usually occurs when a target object is heavily occluded. The *Box* sequence is challenging as the target object is heavily occluded in several frames. Only the proposed and the Struck trackers are able to relocate the target after heavy occlusion. As the proposed algorithm updates only some regions at any time instance, the tracking drift problem is alleviated where heavy occlusion occurs.

- 3) *Out-of-Plane Rotation and Abrupt Motion*: The target objects in the *Biker* and *Surfer* sequences undergo large out-of-plane rotation with abrupt movements. Since we do not consider large scale change in this paper, only parts of these sequences are used for evaluations. We note that the scale approach in [1] can also be used in our method to deal with large scale variation. Most algorithms, except the proposed SCM and the Struck trackers, do not perform well in these sequences. The *Dragon Baby* sequence is downloaded from YouTube where the baby abruptly moves in action scenes. The proposed algorithms track the baby well despite all the abrupt movements and out-of-plane rotation. The motor-biker performs acrobatic movements with 360° rotation in the *Motor Rolling* sequence. While the proposed trackers are able to track the target object throughout the sequence, the other methods do not perform well.
- 4) *Background Clutters*: In the *Tiger2* and *Board* sequences, the target objects undergo fast movements in cluttered backgrounds. The MIL, SCM, and the proposed trackers perform well, but the others fail to locate the target objects.

B. Evaluation 2: Benchmark Data Set

We further evaluate the proposed tracker on a benchmark data set [35] with several metrics. Two different features, color and IIFs, are examined in this evaluation. This benchmark data set includes 50 sequences, each of which contains different challenging factors (11 challenging factors in the data set), and 29 tracking algorithms are included for comparison. The frame sizes vary, ranging from 300×400 to 800×1000 .

1) *Evaluation Metrics*: We apply four evaluation metrics introduced by two recent survey papers [31], [35]. Three of the metrics [35] are used to evaluate the robustness to the spatial and temporal perturbations, and the last one [31] is to show the cumulative performance of the trackers on the entire data set.

In the temporal robustness evaluation (TRE), each sequence is partitioned into 20 segments. Each tracker is initialized using one of these segments with ground-truth object location (i.e., bounding boxes), and then executed until the last frame of each sequence. The spatial robustness evaluation (SRE) metrics are to examine whether the trackers are sensitive to different initial object positions. Each tracker is initialized at a position slightly away from the ground-truth localization (including shifting and scaling errors) for each sequence. Together with the conventional one-pass evaluation (OPE) metric, these three metrics are used to evaluate the robustness of the trackers, and to compute the area under curve (AUC) scores of the success

rates in order to summarize and rank the tracking algorithms. The AUC score measures the overall performance of each tracker, and it is more accurate than the score with a fixed threshold.

We further plot the survival curves [31] to evaluate the overall performance of the trackers. The survival curves were originally used to measure the effectiveness of a medical treatment based on how long the patients survived after the treatment. Here, we aim at exploring how many video sequences that the trackers can successfully track (i.e., overlapping ratio larger than 0.5). To plot the survival curves, we first compute the success rate of each tracker for every sequence. We then sort the videos according to the success rates. Note that the video orders are different for different trackers. These survival curves are able to avoid the influence of preference on particular videos, showing the overall performance of the trackers.

2) *Performances of the Trackers*: Fig. 8 shows some success plots from the benchmark evaluations where only the best ten trackers are presented for clarity. The first row of Fig. 8 shows the overall performance. The evaluated trackers are effective in different aspects. SCM ranks second in OPE, but ranks fifth in SRE, which suggests that it is less robust to the initial position. TLD performs better in both OPE and SRE than TRE. This is because its redetection mechanism works well in long sequences rather short video segments. Sparse representation-based methods (SCM, ASLA, Local Sparse K-Selection tracker, MTT, and L1 Tracker Using Accelerated Proximal Gradient Approach) perform well in SRE and TRE, which implies that sparse representations are able to capture appearance changes. On the contrary, the proposed tracker with color LSH (LSHT_C) performs well in all three criteria. In addition, the proposed tracker using only the IIFs (LSHT) also ranks among the top three in all criteria. We note that the proposed tracker has a higher success rate when the overlap threshold is small but has lower success rates than some trackers (e.g., SCM) when the threshold is large. This can be explained by the fact that the proposed tracker does not directly consider object scale change in the state parameters (which is also the case for several state-of-the-art methods, such as MIL, L1T, and Struck). The second row of Fig. 8 shows some success plots on sequences with different challenging factors. The proposed LSHT using IIFs perform well for sequences with large illumination variation. In addition, they also perform well for sequences with heavy occlusion and motion blur. The complete evaluation of ten different challenging factors can be found in the supplementary material.

Fig. 9 shows the survival curves of the best 20 trackers, for clarity. The proposed LSHT and LSHT_C achieve the best and the third average success rates among the 31 trackers tested. Together with SCM, these three methods significantly outperform all the other methods (at least by 8%). We can see that LSHT is robust to different types of sequences. There are 34 sequences with success rates over 50%. On the other hand, LSHT_C has nearly perfect performance for 12 sequences (with success rates over 98%). SCM performs very well in half of the 50 sequences, but it is not as robust as LSHT and its performance rapidly drops in another half. The difficulty of

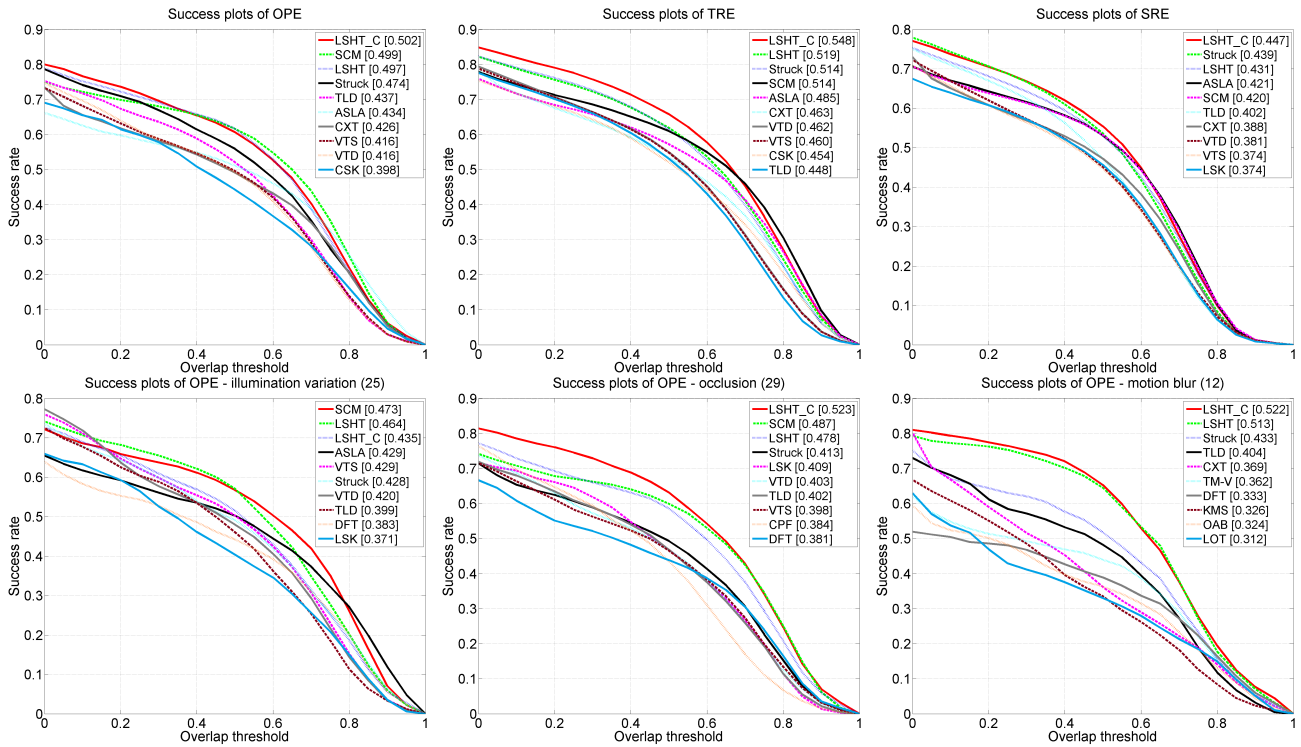


Fig. 8. First row: success plots of OPE, TRE, and SRE. Second row: part of the success plots of different challenging factors. The average AUC scores are shown in the legends.

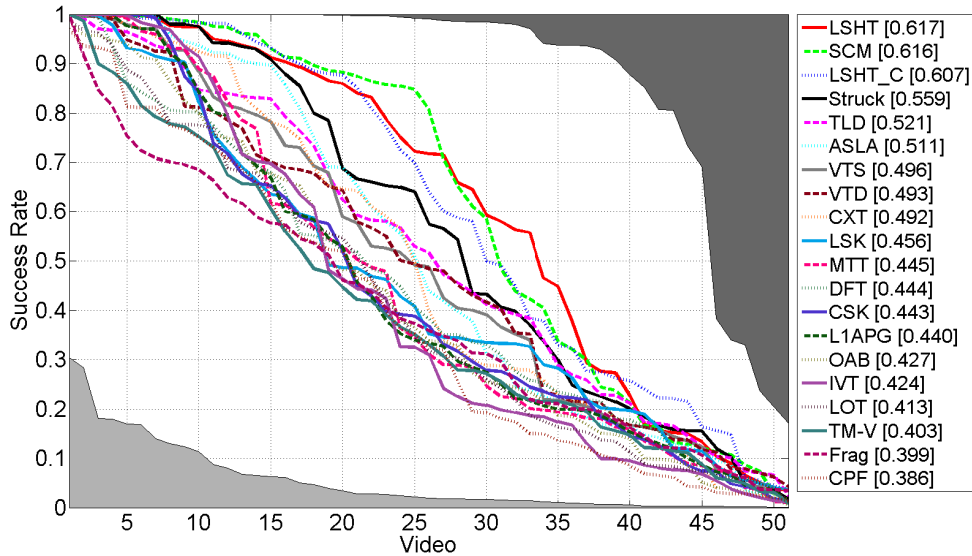


Fig. 9. Survival curves of the trackers with respect to success rates on the benchmark data set. The average success rates are shown in the legend. Shaded areas: difficulty of this data set. Upper right area: success rates that none of the 31 trackers was able to obtain. Bottom left area: opposite.

this benchmark data set is also indicated in the shaded areas of Fig. 9. The upper right area shows the success rates that none of the 31 trackers was able to reach, which is $\sim 12\%$ of this benchmark data set. The bottom left area shows the opposite in that all the trackers were able to track objects, which is $\sim 6\%$ of this benchmark data set. These numbers show that this benchmark data set is challenging and that none of these 31 trackers is able to handle the tracking of objects perfectly. Fig. 9 can also be viewed together with the OPE plot of Fig. 8, as the survival curves correspond to the performance at the threshold of 0.5. We can see that although LSHT performs

the best at this threshold, LSHT_C is better at most of the other thresholds, and thus achieves a better AUC score.

VII. CONCLUSION

In this paper, we propose a novel LSH algorithm by taking spatial information into consideration. The proposed histogram leads to a simple yet effective tracking method. Experimental results show that the proposed multiregion tracker performs favorably against numerous state-of-the-art algorithms. As many vision problems can be modeled with histograms, our future work will focus on the extension of the

proposed algorithms to other vision applications. The proposed IIF is a 1D feature, which is not as distinctive as color information in scenes with a cluttered background. Another future direction is to address both illumination and distinct color information simultaneously.

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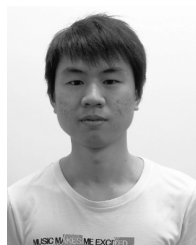
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