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## Domains for well behaved monotonic social choice functions

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# **SMU ECONOMICS & STATISTICS**



## **Domains for Well Behaved Monotonic Social Choice Functions**

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## Domains for Well Behaved Monotonic Social Choice Functions

Paulo Ramos <sup>∗</sup>

February 21, 2022

#### Abstract

We present here a set of necessary and sufficient conditions for an MD-Connected Domain to support a Well Behaved Monotonic Social Choice Function. We require the domain to have a minimal number of preferences in which a pair of alternatives flips their relation, and these reversals must occurr in accordance to a tree graph. While this condition cannot be summarized by a set of restrictions on individual preferences, we provide two alternative characterizations that can, one that is necessary and another that is sufficient.

## 1 Introduction

In this paper we look into the problem of characterizing domains that allow us to define Social Choice Functions (SCF) that satisfy some desirable properties. Our main property of interest is Maskin Monotonicity (often abbreviated to Monotonicity or just MM). This property has, first and foremost, a strong intuitive appeal. Muller and Satterthwaite [\[15\]](#page-31-0) introduced it as an axiom representing a desirable (if also somewhat intuitive) property in a SCF that casting more votes for an alternative in a ballot would never cause that alternative to be dropped once it has already been selected. Besides this intuitive appeal, there are also some methodological reasons to be interested in exploring under what conditions can Monotonic functions be obtained. In the paper that ended up naming the property, Maskin [\[13\]](#page-31-1) showed that Monotonicity is of fundamental importance to Nash Implementation. More recent work suggests that Monotonicity might play an important role

<sup>∗</sup> I would like to thank my advisor, Shurojit Chatterji, for suggesting the idea of this paper and numerous other comments, as well as my committee members, Takashi Kunimoto, Xue Jingyi and Peng Liu, for several other helpful suggestions.

in other implementation concepts as well, as seen on the work of Bergman, Morris and Tercieux [\[3\]](#page-30-0). Thus, this is a condition that has not only an appeal to common sense, but also is of importance to the literature.

As known from the Muller-Satterthwaite Theorem [\[15\]](#page-31-0), this assumptions is not without a cost, and it will demand that some preferences must be excluded from the universe of possible preference relations held by the agents (also known as the preference domain)<sup>[1](#page-3-0)</sup>. In fact, the more restricted the domain is, the easier it gets to formulate SCFs that satisfy almost any properties of interest, to the point where it becomes trivial in an environment where agents can only have one preference. To ensure that the domains being considered in our analysis are useful, we impose a richness condition that ensures that the domains we are considering allow for a rich representation of different points of view held by agents in a given framework. The richness condition chosen is MD-Connectedness, a condition that is based on the notion of sets in which a given alternative maintain its position. Loosely speaking, for each alternative  $x$  in the Domain, it is required that it exists a few pair of preferences, with specific alternatives on top, such that alternative  $x$  maintain its position between these two preferences. This condition is a generalization of the Strong Path-Connectedness presented in Chatterji, Sanver and Sen (2013) [\[7\]](#page-31-2). It draws from notions of connection between alternatives that appeared in both Aswal et al. [\[1\]](#page-30-1), and Chatterji and Sen [\[6\]](#page-31-3).

A second axiom we will impose on the SCFs studied in this paper is that they must be Well Behaved. This axiom requires the functions to satisfy three distinct properties: Anonymity, Unanimity and Tops-Only. Once again, there are both normative as well as methodological reasons to impose this axiom. Unanimity has a very intuitive appeal for any rule that seeks to be used in collective decision making. Anonymity is another often invoked property of such contexts, with appeals to fairness and equity. In particular, Anonymity implies a stronger version of nondictatorship. These first two assumptions are broadly adopted in many branches of the literature on Social Choice. Lastly, Tops-Onlyness is a property that greatly simplifies the informational requirements for the application of any decision rule, as the rule depends exclusively on the top ranked alternative of each agent. On a methodological level, these properties make the analysis simpler and the problem tractable. Anonymity and Tops-Onlyness both introduce sources of "rigidity" on the SCF (understood as a measure of how much the output of the function is unaffected by a change in the inputs) that, in conjunction with Monotonicity, allows us to pin down what are the critical profiles where the SCF must change the output in order to satisfy Unanimity. As we will explain in greater detail below

<span id="page-3-0"></span><sup>&</sup>lt;sup>1</sup>The Theorem shows that Monotonicity, along with Unanimity, implies Dictatorship in the Universal domain. Thus, if one wishes to avoid Dictatorship, but retain Monotonicity and Unanimity, domain restrictions become necessary.

and in subsequent sections, this is of fundamental importance to our analysis.

The first fundamental step in our approach is to take advantage of the assumptions adopted in our setup and rewrite the expression of Monotonicity in a more convenient way that makes it explicit the way the SCF and the domain need to interact with one another for the condition to be upheld. We can summarize it as saying that the richer a domain is, the less responsive the SCF needs to be in order for it to satisfy Monotonicity. Our approach to the problem can then be described as trying to find the minimum level of responsiveness that is presumed from the existence of a Well Behaved SCF and, from them, deriving the restrictions that must be placed on the domain in order for the SCF to be Monotonic while still allowing for the domain to be MD-Connected.

The final set of restrictions, collectively called the Minimum Reversals Condition in this paper, is expressed using the same language for the alternative expression of Monotonicity. This highlights the importance of that step in our analysis. The Minimum Reversals Condition involves arranging the preferences of the domain according to a tree graph and then placing constraints on which alternatives are allowed to maintain their positions when the preferences change from one area of the graph to another.

As the Minimum Reversals Condition is a very holistic condition that is expressed in terms of the domain as whole, rather than something that can be checked from properties on the individual preferences, it can be hard to verify. We then provide a set of necessary (but not sufficient) conditions that is based on constraints over the individual preferences. We call the class of domains satisfying this condition Weak Semi-Single-Peaked domains, as the conditions found are a weaker version of the requirements on preferences in a Semi-Single-Peaked domain. We also provide a way to strengthen a Weak Semi-Single-Peaked domain such that we obtain a set of sufficient (but not necessary) conditions that is also easier to express than the Minimal Reversals Condition.

The rest of the paper is organized as follows: section 2 presents the framework we will adopt for our work, introducing the basic notations and definitions used. Section 3 contains the main results of our analysis, the set of necessary and sufficient conditions for a domain to admit a Well Behaved Monotonic SCF, as well as a necessary condition for individual preferences. Section 4 illustrates these ideas by providing examples, highlighting the differences between Semi-Single-Peaked domains, the domains that satisfy the Minimum Reversals Condition and the Weak Semi-Single Peaked domains. Section 5 concludes, with the proofs of the results left for the appendix.

#### 1.1 Related Literature

This paper is situated in a broad literature that investigates what sort of domain restrictions can yield positive results in the face of the known Impossibility Theorems. While the possibilities of Single Peaked domains have been known even before 1950 (see Black [\[4\]](#page-31-4)), the earliest attempts of characterizing possible domain restrictions that ensure the existence of rules satisfying a set of properties date back to 1977, with the work of Kalai and Muller [\[10\]](#page-31-5).

Our paper focuses specifically on domains that admit Monotonic rules. Bochet and Storcken [\[5\]](#page-31-6) started by investigating the possibilities for a Pareto-Optimal, Monotonic and Anonymous SCF to exist by placing restrictions on a single agent's preferences; their work differs from ours as we impose identical restrictions on the preferences of each agent. These different restrictions yield considerably different results for the shape of the domain. Kutlu [\[12\]](#page-31-7) followed a different approach and examined the conditions under which the only Unanimous and Monotonic SCFs are the dictatorial ones, hence delimiting a set of necessary restrictions to be placed on domains if one expects to find non-dictatorial rules. Our work is able to provide necessary and sufficient conditions for the case of Well Behaved SCFs. Klaus and Bochet [\[11\]](#page-31-8) examined under what restrictions Monotonicity and Strategy-Proofness are equivalent, thus allowing the branch of the literature that explores Monotonic rules to borrow some results from the more extensive branch on Strategy-Proof rules. Our work takes this literature forward by providing positive results on a class of problems yet unexplored, while also developing a new approach that highlights how the properties of the SCF interact with the shape of the domain on which they are defined.

We adopt some of the methodology of the literature on Strategy-Proof SCFs. Chatterji, Sanver and Sen [\[7\]](#page-31-2) examine a similar problem to ours, but with a stronger richness condition and swapping Monotonicity for Strategy-Proofness. While our sufficiency results are similar, the necessity part of our work differs substantially from theirs. Not only our richness condition is weaker, but on a restricted domain environment with strict preferences, Monotonicity is also a weaker condition than Strategy-Proofness, and thus, the characterization of necessary conditions becomes harder.<sup>[2](#page-5-0)</sup>

<span id="page-5-0"></span><sup>2</sup>Other notable works on the branch of Strategy-Proof domains are Chatterji and Massó [\[8\]](#page-31-9), Aswal and Chatterji [\[1\]](#page-30-1), Demange [\[9\]](#page-31-10) and Moulin [\[14\]](#page-31-11)

## 2 The Model

#### 2.1 Basic Framework

Let  $N = \{1, ..., n\}$  be the finite set of voters, with  $n \geq 2$ , and A be a finite set with  $m \geq 3$  alternatives. Voters have *strict preference relations* over A. An individual voter's preference is denoted by  $P_i$ , and for any two distinct elements  $x, y \in A$ , the notation  $xP_iy$  reads as "x is strictly preferred to y according to the preference relation  $P_i$ ". The set of all *admissible preference relations* is denoted by  $D$  and is called the **preference domain**. A **profile**  $P = (P_1, ..., P_n)$  is a list of preference relations, one for each voter. For a given preference relation  $P_i$ , we say that an alternative a is s-th ranked in  $P_i$  if  $|\{x \in A|aP_ix\}| = m-s$  for  $s = 1, ..., m$ , and we use the notation  $r_s(P_i)$  to denote the s-th ranked alternative in  $P_i$ . In particular, we call the 1st ranked (or top-ranked) alternative for a given voter a vote, and the expression "number of votes for an alternative" means the number of voters that had that alternative ranked at the top of their preferences in a given profile. We will use the notation  $v(a, P)$  to denote the number of votes alternative a has at profile P.

A Social Choice Function (SCF) is a mapping  $f: \mathcal{D}^n \to A$  that assigns for each profile P an alternative  $f(P)$ . In this paper, we are interested in SCFs that satisfy a set of properties: anonymity, unanimity and tops-only. An **unanimous** SCF has the property that  $f(P) = a$  whenever  $v(a, P) = n$ . A SCF is said to be **anonymous** whenever  $f(P) = f(P')$  where P' is any permutation <sup>[3](#page-6-0)</sup> of the preferences in the profile  $P$ . Finally, a SCF has the **tops-only** property if  $f(P) = f(P')$  whenever  $r_1(P_i) = r_1(P'_i)$  for every voter  $i = 1, ..., n$ ; that is, the outcome of the SCF is completely determined by the top-ranked alternatives in each preference of the profile. Any SCF that satisfies these three properties is said to be a Well Behaved SCF.

Well Behaved SCFs possess an important property that will be extensively explored in later sections of this manuscript. The outcome of such SCFs can be entirely determined by the number of votes for each alternative in a profile, with profiles that have an identical distribution of votes across alternatives sharing the same outcome.

**Property WB:** Assume that f is a Well Behaved SCF. Then, if  $\forall a \in A$ ,  $v(a, P) = v(a, P')$ , we must have  $f(P) = f(P')$ 

<span id="page-6-0"></span> ${}^{3}P'$  is said to be a permutation of P if and only if there is a bijection  $h: N \to N$  such that for every  $i = 1, ..., n, P_i = P'_{h(i)}$ .

We will restrict our attention to Well Behaved SCFs that satisfy one additional property, commonly called Maskin Monotonicity (MM). In order to define Maskin Monotonicity we need to introduce an intermediary concept first. Let  $P_i, P'_i \in \mathcal{D}$  be any two preference relations and  $a \in A$  an arbitrary alternative. We then say that a **maintain its position** from  $P_i$  to  $P'_i$  if, for every alternative x,  $a P_i x \Rightarrow a P'_i x$  holds, and we use the following notation  $P_i \mapsto_a P'_i$  denote that a maintains its position from  $P_i$  to  $P'_i$ . We can extend this notion to profiles and say that a maintains its position from P to P' if for every voter  $i = 1, ..., n, P_i \mapsto_a P'_i$ holds, in which case, we use the notation  $P \mapsto_a P'$ . Then, we say that the SCF is **monotonic** (or, alternatively, that it satisfies MM) if  $[P \mapsto_a P' \wedge f(P) = a] \Rightarrow$  $f(P') = a$ . In the appendix, however, we will provide another expression of this concept, one that takes advantage of our other assumptions and provides a more convenient condition to work with.

Related to the concept of MM, we have a few characteristic sets for both the domain and the SCF. First, define the set  $\hat{A}$  as the subset of  $A^2$  such that the two coordinates are different:  $\hat{A} = \{(x, y) \in A^2 : x \neq y\}$ . Then, call the set  $W_D^a$  the set of all pairs  $(P_i, P'_i) \in \mathcal{D}^2$  such that  $P_i \mapsto_a P'_i$ . Call the subset  $D^a$  of  $\mathcal D$  the subset of all orderings  $P_i^a$  such that a is the top-ranked alternative in  $P_i^a$ . Finally, define the set  $M_D^a$  as the set of all pairs  $(b, c) \in \hat{A}$  such that there exists at least one  $P_i^b \in D^b$  and one  $P_i^c \in D^c$  so that the pair  $(P_i^b, P_i^c) \in W_D^a$ . In words, the pair  $(b, c)$ is in  $M_D^a$  if there is a way to change a vote from b to c while making alternative a maintain its position in this change. Conversely, a pair  $(b, c)$  is not in the set  $M_D^a$  if for every pair of preferences  $P_i^b \in D^b$  and  $P_i^c \in D^c$  there exists at least one alternative x such that  $aP_i^b x$  and  $xP_i^c a$ . We call this a **reversal** for alternative a.

Another concept related to Monotonicity is that of pivotal changes. A pair  $(b, c)$  is called a **pivotal change for** a if there is at least one profile P such that, by changing the vote of a single voter from b to c at that profile, the outcome of the SCF changes from a to something else. We can specify the set of all pivotal changes for an alternative as follows. First, for a social choice function  $f$ , define the set  $C_f$  as the subset of  $A \times \mathcal{D}^n \times \hat{A} \times \mathcal{D}^n$  such that every element  $[a, P, (b, c), P']$ satisfies the following properties:

- 1.  $f(P) = a$  and  $f(P') \neq a$ ;
- 2.  $v(b, P) = v(b, P') + 1;$
- 3.  $v(c, P) = v(c, P') 1;$
- 4.  $v(d, P) = v(d, P'), \forall d \neq b, c$

Then, fix an alternative a and define the set  $C_f^a$  as:

 $C_f^a = \{(b, c) \in \hat{A} | \exists P, P' \in \mathcal{D} : [a, P, (b, c), P'] \in C_f\}$ 

 $C_f^a$  is the set of all pivotal changes that can occur when the outcome of the SCF is a.  $M_D^a$  and  $C_f^a$  are two sets that share some similarities. Firstly, they are both defined over the same space  $\hat{A}^2$ , that is, they are both sets of pairs of distinct alternatives. The first one,  $M_D^a$ , however, is delimited by the domain  $D$  alone and is related to possible ways in which alternative a maintains its position after a change of votes. The second, set  $C_f^a$ , depends crucially on f and describes changes of votes that cause a change in the outcome of the SCF from a to something else. As we shall see in the appendix, Monotonicity will require these two sets to be disjoint for every alternative a.

#### 2.2 Graphs

Let  $G = \langle A, \mathcal{E}^A \rangle$  denote an undirected graph where A is the set of nodes and  $\mathcal{E}^A \subset A^2$  is the set of edges. We say that two nodes in a graph are **adjacent** if there is an edge on the graph connecting the two. If  $x$  is an arbitrary node of  $G$ , we use the notation  $\alpha(x)$  to describe the set of adjacent nodes of x; that is,  $y \in \alpha(x)$ if and only if  $(x, y) \in \mathcal{E}^{A}$ . A node in a graph is an extreme node if it is adjacent to only one other node.

In our theory, we will work primarily with **tree graphs**. We say that a graph is a tree if it is a connected acyclic graph. For this kind of graph, there are a few concepts, definitions and results that will be extensively used in the next sections. If the graph is a tree, and hence the path  $4$  connecting any two nodes is unique, we will employ the notation  $(a, b)$  to describe the set of nodes in the unique path connecting nodes a and b. Because of this,  $\langle a, b \rangle = \langle b, a \rangle$  in this case. We also define a maximal path as any path that contains exactly two extreme nodes.

A second class of sets that are possible to be defined on a tree graph are the spans of a node, capturing the notion of the set of nodes in a tree graph that "stem" from a given node from a certain direction. Let  $y, z$  be nodes on a tree graph G. We call the set  $\xi(y, z)$  the span of y from z. It is the set of all nodes such that  $x \in \xi(y, z) \Leftrightarrow y \in \langle x, z \rangle$ . So the span of y from z is the set of nodes that includes  $y$  on their path to  $z$ .

Given a tree graph G and a set of nodes  $B \subset G$ , we can define the subgraph  $G(B)$  as the unique connected induced subgraph that satisfies:

- The set of nodes in  $G(B)$  contains B.
- Let  $x, y \in B$ . The graph  $G(B)$  has an edge  $(x, y)$  only if  $(x, y)$  has an edge in G.

<span id="page-8-0"></span><sup>&</sup>lt;sup>4</sup>A path is formally defined as a sequence of *distinct* nodes  $(a_1, a_2, ..., a_k)$  such that for any  $j = 1, ..., n - 1$ , the pair  $(a_j, a_{j+1})$  constitutes an edge on the graph.

- $G(B)$  is connected.
- $y \in G(B)$  if and only if  $y \in \langle x, z \rangle$  where  $x, z \in B$ .

In essence, a subgraph is formed by a collection of nodes B of G and all the paths that connect the nodes of B. In particular, any path  $\langle a, b \rangle$  is a subgraph for  $B = \{a, b\}.$ 

Another important concept that will be used in our work related to tree graphs is the concept of **projection of a node** x in a subgraph  $G(B)$ . Formally, given a subgraph  $G(B) \subset G$  and a node  $x \notin G(B)$ , the projection of x on  $G(B)$ is the unique node  $\beta_x(B) \in G(B)$  such that for every node  $y \in G(B)$  we have  $\beta_x(B) \in \langle y, x \rangle$ . For  $x \in G(B)$  then the projection of x on  $G(B)$  is x itself.

A tree graph G where the set of nodes is equal to the set of alternatives of a Domain and a fixed node  $t \in G$  together compose what we will call an **admissible pair**, denoted  $(G, t)$  for that Domain. Admissible pairs allow us to define a series of projections, which will be useful later on.

#### 2.3 Richness Condition

We are interested in Domains that possess a minimal richness condition called MD-Connectedness. We first state the definition of MD-Connectedness for two alternatives  $a, b$ . We say these two alternatives are **MD-connected** in D if, for every alternative  $c \neq a, b$  there are sequence of alternatives  $\{x_j\}_{j=1}^k$  and  $\{y_j\}_{j=1}^l$ such that  $x_1 = y_l = a, y_1 = x_k = b$  and for every  $j < k$  we have  $(x_j, x_{j+1}) \in M_D^c$ and for every  $j < l$  we have  $(y_j, y_{j+1}) \in M_D^c$ . We use the notation  $a \approx b$  to denote that a and b are MD-connected.

Now consider a graph whose nodes are the elements of A. Two nodes in this graph constitute an edge if and only if they are MD-connected. Call this graph the **Connectivity Graph** of domain  $D$ . We can now define the MD-Connected property for D in terms of its Connectivity Graph.

#### **Definition:** The domain D has the **MD-Connected** property if its Connectivity Graph is connected.

We call to attention that this richness condition does not require for the Connectivity Graph to be a tree, only for it to be a connected graph. Some of our results rely on only a much weaker version of this richness condition, called Minimal Richness. We say that a domain is **minimally rich** when  $\forall a \in A \exists P_i \in D$ such that  $r_1(P_i) = a$ .

Remark 1: The MD-Connectedness condition was inspired by the strategyproofness literature. Many notions of connectivity between alternatives are used in that area. One in particular is a stronger version of MD-connectedness, Strong Path-**Connectedness.** In this condition, we say that two alternatives  $a, b$  are (strongly) connected if there are orderings  $P_i, P'_i \in D$  such that a is ranked first and b in  $P_i$ , b is ranked first and  $a$  is ranked second in  $P'_i$  and every other alternative except for a and b is ranked exactly the same in both  $P_i$  and  $P'_i$ . The notion of connectedness for a domain from the connectedness between two alternatives is then constructed exactly in the same fashion as above; that is, we say that a domain is Strong Path-Connected if the Connectivity Graph (constructed using strong connectivity between alternatives, rather than MD-connectivity) is connected. We can check that if two alternatives are strongly connected, they will also be MD-connected, as every other alternative  $c \neq a, b$  maintains its position when going from  $P_i$  to  $P'_i$  and from  $P'_i$  to  $P_i$ . However, this could be achieved by using other preference orderings, possibly involving lengthy chain of orderings rather than only two. As such, MD-connectedness is a much weaker restriction than Strong Path-Connectedness, particularly for domains that contain a reasonably large number of orderings. ■

Remark 2: Unfortunately, however, one of the main drawbacks of Strong Path-Connected domains remains: this condition is incompatible with many forms of multidimensional domains. In particular, they do not work with top-separable multidimensional domains. We will try to illustrate the issue with these types of domains with an example. Suppose that a preference on computer could be decomposed into two components, software and hardware. There are many choices of software and many choices of hardware and alternatives are formed of exactly one element of the set of softwares and one element of the set of hardwares. If we think that, for each preference, the top element of a preference is composed of the "best" elements in each component, and that somehow these characteristics are transferrable, then a bundle that has the best hardware (and some software) is always preferrable to a bundle that has the same software, but some other hardware.

The main issue that this causes with MD-Connected preferrences is that the restriction implied by top-separability will in turn imply many reversals. Imagine two alternatives, one given by  $(a, a)$  and another given by  $(a, b)$ , and two preferences,  $P_i^{(c,a)}$  which has  $(c, a)$  as his top-ranked alternative, and preference  $P_i^{(c,b)}$  $\mathbf{r}_i^{(c,0)},$ which has  $(c, b)$  as his top-ranked alternative. In preference  $P_i^{(c,a)}$  $a_i^{(c,a)}$ , alternative  $(a,a)$ is preferred to alternative  $(a, b)$ , whereas in preference  $P_i^{(c,b)}$  $i^{(c,b)}$ ,  $(a,b)$  is preferred to  $(a, a)$ . As such, bundle  $(a, a)$  does not maintain its position when going from any preference with  $(c, a)$  on top to any preference with  $(c, b)$  on top; similarly,  $(a, b)$ does not maintain its position when going from any preference with  $(c, b)$  on top

to any preference with  $(c, a)$  on top Hence,  $[(c, a), (c, b)] \notin M_D^{(a,a)}$ . While this alone is not in itself a problem, any top-separable domain has enough reversals like this to the point where the Connectivity Graph of the domain becomes no longer a connected graph. ■

### 2.4 Our additional condition

Lastly, we define the most important concept for our work before we state our results. We call it the Minimal Reversals Condition for a given MD-Connected domain.

The Minimum Reversals Condition: Given a tree Graph G, denote by  $A_G^*$ the set of all alternatives that are not extreme nodes in G. We say that a domain satisfies the Minimum Reversals condition if there is an admissible pair  $(G, t)$  such that, for every  $b \in A_G^*$ , every pair  $a, c \in \alpha(b)$ ,  $a \neq c$  and every pair  $x \in \xi(a, b)$ ,  $y \in$  $\xi(c, b)$  we have  $t \notin \xi(c, b) \Rightarrow (y, x) \notin M_D^b$ .

The Minimum Reversals Condition is a holistic condition on the domain as a whole. It relates whether each alternative maintains its position through every possible change of preferences to its relative position on a tree graph G and a special node t. As such, it cannot be fully summarized in terms of restrictions on individual preferences on the domain.

Remark 3: A given domain might satisfy the Minimum Reversals Condition for potentially several different admissible pairs. In fact, Single-Peaked Domains are an example of Domains that are compatible with several admissible pairs, as any node in the Connectivity Graph of a Single Peaked domain can play the role of t for the admissible pair. Thus, there would be as many admissible pairs compatible with the Minimum Reversals Condition for a Single Peaked domain as there are alternatives. ■

Remark 4: As a corollary of the first remark, a given domain might have many more pairs  $(x, y)$  that do not belong to the set  $M_D^b$  (i.e. more reversals) for some alternative b besides the ones specified by the Condition. As the name suggests, this is just a minimal condition. ■

#### 2.4.1 Verifying the Minimum Reversals Condition

We show now an example on how the Condition can be verified, illustrating its functioning.



Figure 1: An admissible pair



Figure 2: Reversals for  $t$ 

Let the diagram in figure 1 illustrate an admissible pair, where the node in green plays the role of alternative t for the admissible pair. Pick then an alternative and its corresponding node in the Graph to play the role of alternative b in the definition of the Condition. This alternative will divide the Graph into a number of subgraphs equal to the number of edges that node had. Each of these subgraphs corresponds to a span of the form  $\xi(a, b)$ , where  $a \in \alpha(b)$ . Figure 2 illustrates this for the case where alternative  $t$  also plays the role of  $b$ , where the Graph is divided into three subgraphs. Pick now a pair of preferences, such that the top ranked alternative of each one comes from a different subgraph, for example,  $(p, q)$ . It is evident that t itself is not a part of any of these subgraphs, and thus, by the Minimal Reversals Condition, alternative t cannot maintain its position when changing from either preference to the other; that is,  $(p, q)$ ,  $(q, p) \notin M_D^t$ . Note that the condition is silent about what happens between pairs in the same subgraph, like  $(q, s)$ . For these cases, t can either maintain its position or not between preferences.

Now, let's consider a different case. Pick alternative  $x$ , as illustrated by Figure 3. This time, it divides the graph into two different subgraphs. Once more, we are concerned only with changes involving alternatives represented by nodes lying in different subgraphs, in this case, the single node in subgraph 1 (which we are also calling node 1, with some abuse in notation) and any of the nodes in subgraph 2, for example, s. We can easily see that node t is not in the same subgraph as node 1 and hence, for any preference whose top-ranked alternative lies in subgraph 2, say, s, we have  $(1, s) \notin M_{D}^{x}$ . Note, however, that the pair  $(s, 1)$  can belong to the set  $M_D^x$ , as t belongs to the subgraph 2, and so on this direction there are no implications made by the Minimal Reversals Condition. In other words, t can maintain its position when going from a preference whose top is s to a preference whose top is 1, but not the other way around.

We aggregate now both cases in a single example on Figure 4. In this case, t belongs to the subgraph 3. Thus, if we choose a pair of alternatives where one comes from subgraph 1, like x and the other comes from subgraph 2, like q we have that both  $(x, q), (q, x) \notin M_D^y$ . Similarly, a pair of preferences such that the top of the first one comes from either subgraph 1 or 2 and the top of the second one comes from subgraph 3 would also require alternative y to not maintain its position. For example, consider once more the pair  $(q, p)$ . From that, we can readily infer that  $(q, p) \notin M_{D}^{y}$ . Similar arguments hold for the pair  $(x, p)$ , for example. However, note that we cannot say anything about the pairs  $(p, x)$  or  $(p, q)$ . As the first preference has a top-ranked alternative that is in the same subgraph as  $t$ , the Minimal Reversals Condition does not state anything about this case.







Figure 4: Reversals for  $\boldsymbol{y}$ 

#### 2.5 Single-Peaked Domains and Their Generalizations

We will present here two generalizations of the class of Single-Peaked domains that will be used in this work, as well as an alternative (equivalent) formulation of Single-Peaked domains.

Starting with the most general to the most restrictive, we have:

**Definition:** We say that a Domain  $\mathbb{D}$  is a Weak Semi-Single-Peaked Domain if there is an admissible pair  $(G, t)$  such that, for all  $P_i \in \mathbb{D}$  and every maximal path  $\delta \in \mathbb{P}(G)$  with  $r_1(P_i) \in \delta$  we have :

- $[a_r, a_s \in \delta \text{ such that } a_r, a_s \in \langle r_1(P_i), \beta_t(\delta) \rangle \text{ and } a_r \in \langle r_1(P_i), a_s \rangle \Rightarrow [a_r P_i a_s].$
- $[a_r \in \delta \cap \alpha(\beta_t(\delta)) \text{ and } \beta_t(\delta) \in \langle r_1(P_i), a_r \rangle] \Rightarrow [\beta_t(\delta)P_i a_r]$

**Definition:** We say that a Domain  $\mathbb{D}$  is a Semi-Single-Peaked Domain if there is an admissible pair<sup>[5](#page-15-0)</sup>  $(G,t)$  such that, for all  $P_i \in \mathbb{D}$  and every maximal path  $\delta \in \mathbb{P}(G)$  with  $r_1(P_i) \in \delta$  we have :

- $[a_r, a_s \in \delta \text{ such that } a_r, a_s \in \langle r_1(P_i), \beta_t(\delta) \rangle \text{ and } a_r \in \langle r_1(P_i), a_s \rangle \Rightarrow [a_r P_i a_s].$
- $[a_r \in \delta \text{ and } \beta_t(\delta) \in \langle r_1(P_i), a_r \rangle] \Rightarrow [\beta_t(\delta)P_i a_r]$

**Definition:** We say that a Domain  $\mathbb{D}$  is a Single-Peaked Domain (on a tree) if there is a tree graph G such that, for every  $t \in A$ , the domain  $D$  is a Weak Semi-Single-Peaked domain with respect to the admissible pair  $(G, t)$ .

**Corollary:** For every preference  $P_i$  in a Single-Peaked domain and every pair of nodes  $a_r, a_s \in A$  such that  $a_r \in \langle r_1(P_i), a_s \rangle$ , we must have  $a_r P_i a_s$ .

The comparison of SSP domains and WSSP should be clear: the WSSP domains weaken the requirements for how the preferences behave on any path after  $\beta_t(\delta)$ for that path. On SSP domains, those alternatives that are located away from the peak and after  $\beta_t(\delta)$  must be ranked below  $\beta_t(\delta)$ . On the WSSP domains, the preferences between the peak and  $\beta_t(\delta)$  must decrease similarly to what we see in SSP domains, but after  $\beta_t(\delta)$  only the alternative adjacent to  $\beta_t(\delta)$  must be ranked lower than it. For the case of Single-Peaked domains, since every node can be taken

<span id="page-15-0"></span><sup>5</sup>The original definition of a Semi-Single-Peaked domain in Chatterji et al. (2013) uses a slightly different notion of an admissible pair, where the pair is defined as a tree graph and the set of projections of a specific node on all the maximal paths of the graph, rather than a graph and a node. We adapted such notion to be compatible with the rest of our work.

as a part of an admissible pair, we have that the preferences are always decreasing along the path from the peak of the preference to any other alternative. This is essentially an extension of Single-Peaked domains on linear orders - which are tree graphs with a single path - to more general structures. This particular formulation in terms of a Weak Semi-Single-Peaked domain simply makes the comparison with the other two domains more clear. Additionally, it will also make some properties of Single-Peaked domains more salient on later sections.

#### 2.6 Eligible Thresholds

An important concept related to the Minimum Reversals Condition is the set of eligible thresholds for a MD-Connected domain that satisfies the Condition. Essentially, since a given domain might satisfy the MRC for multiple admissible pairs, the set of eligible thresholds give us an idea of how many admissible pairs are compatible with the MRC for a given domain. To make such a characterization, we fix the graph component of the admissible pair as the Connectivity Graph of the domain, and call the node component of such pair a threshold. The set of eligible thresholds is then the set of all nodes that can act as a threshold for the MRC along the Connectivity Graph of that domain. As it will be seen in later section, such set has important implications for the shape of the domain.

**Definition** Let D be an MD-Connected domain and the set  $\tau_D \subset A$  be defined as the set of all alternatives t such that domain  $\mathcal D$  satisfies the Minimal Reversals Condition for the admissible pair  $(G, t)$  formed by alternative t and the Connectivity graph of D. We call the set  $\tau_D$  the set of *eligible thresholds for* D.

**Examples:** For all Single-Peaked domains,  $\tau_D = A$ , that is, every node is an eligible threshold. This is formally proved in Proposition 2. We check one more example of domain and its associated set  $\tau_D$ . Consider the domain below, composed of five alternatives,  $A, B, T, X, Y$ . We will identify the preferences on the Domain by numbers.



We can easily check that the Domain is Strong Path-Connected, (and thus, MD-Connected as well) and the Connectivity Graph is rather simple:  $A \approx B \approx T \approx$  $X \approx Y$ . We also observe that  $(t, a) \in M_D^b$  and  $(t, y) \in M_D^x$ . Now, we try to verify the Minimum Reversals Condition for each of the five possible admissible pairs involving G:  $(G, a), (G, b), (G, t), (G, x)$  and  $(G, y)$ . We verify quite easily that the Minimum Reversals Condition requires  $(t, a) \notin M_D^b$  for both the admissible pairs  $(G, a)$  and  $(G, b)$ , so neither a nor b belong to the set  $\tau_D$ . Next, we also verify that the Minimum Reversals Condition requires  $(t, y) \notin M)_D^x$  for the both the admissible pairs  $(G, x)$  and  $(G, y)$ , so neither x nor y belong to the set  $\tau_D$ as well. The only remaining possible alternative is  $t$ , and indeed, the Minimum Reversals Condition holds for the admissible pair  $(G, t)$  <sup>[6](#page-17-0)</sup>. Thus, for the domain above,  $\tau_D = \{t\}.$ 

## 3 A Preliminary Illustration

Before presenting the results, we would like to offer an heuristic example to help the reader understand the nature of our findings, as well as to relate the results to other more familiar concepts.

Consider a Single-Peaked domain over some arbitrary linear order, for instance, the linear order shown in Figure 5. One of its properties is that, for any  $d$  that is not an extreme node, and any  $f, b$  that lie on **opposite sides** of d, we will have  $(f, b), (b, f) \notin M_{D}^{d}$ . This property guarantees that any generalized median voter SCF (which, by design, are Well Behaved functions) are Monotonic on a Single-Peaked domain. To see why, suppose that the outcome of the SCF at a given profile is d. Then, any profile involving a permutation of votes of the same side of d (say, changing a vote for a to a vote for b) will not alter the position of the median. The only way to change the outcome of the SCF from  $d$  to something else is to move votes across alternatives at different sides of d, like from  $f$  to  $b$ . But, as we saw, for any of these changes, alternative  $d$  does not maintain its position, and thus Monotonicity is always preserved.

However, as convenient as the property of Single-Peakedness is for guaranteeing that Monotonicity will hold for any generalized median rule (which, by construction, are also always Well Behaved as well), this imposes heavy restrictions on the domains. Since we don't require that all Well Behaved rules be Monotonic, but rather, finding a domain that has a single Well Behaved rule that is also Monotonic is enough for our purpose, we wonder if it is possible to somehow relax the restrictions while still preserving Monotonicity for at least one generalized median rule. If we can find such a relaxation that still preserves Monotonicity for one of these rules, we will have found a domain that is larger than a Single Peaked domain and in which we can still define a Monotonic, Well Behaved SCF, given by that generalized median rule.

<span id="page-17-0"></span> ${}^{6}$ This is verified in detail in section 4.2.



Figure 5: A property of Single-Peakedness.

It turns out, this is possible if we pick a SCF that exhibits Veto Power in at least some of its sections. Consider the generalized median rule for three players that has two phantoms, one at d and one at  $e$ , depicted in Figure 6. Alternative  $c$  can veto alternative b, as a single vote for c is enough to make it impossible for the outcome of the SCF to be  $b$ , even when all the other voters vote for  $b$ . This implies that whenever alternative  $b$  is selected as the outcome, there is no (non-phantom) voter voting for any alternative to the right of  $b$ . Since there will never be a voter voting for c when b is selected, we don't need to worry about a change of votes coming from that node - for instance, changing a vote from c to a - violating Monotonicity. In turn, this allows us to have  $(c, a) \in M_D^b$  without violating Monotonicity for that function. For instance, we could have in the domain a pair of preferences like  $P_i = a > b > c > d > e > f$  and  $P'_i = c > a > d > e > f > b$ , such that b maintain its position when going from preference  $P_i$  to preference  $P_i$ . For a different SCF - say, one where the phantoms are located at nodes  $a$  and  $f$ , so that there are no alternatives that can be vetoed - such pair of preferences could be a problem, because it is possible to find a scenario where Monotonicity is violated using these preferences. For instance, if the phantoms are at nodes  $a$  and  $f$ , then we could pick a profile where player 1 has a preference with a on top, player 2 has a preference with b on top and player 3 has preference  $P_i'$ . The outcome of such profile would be b. However, if player 3 changes his preference from  $P_i'$  to  $P_i$  (while the other two players keep the same preferences), the outcome of this SCF would change from b to a, even though alternative b would maintain its position from one profile to the other. In the case where alternative  $b$  can be vetoed by alternative  $c$ , we know that such a scenario can never happen, since whenever  $b$  is selected as the outcome, there are no agents voting for  $c$ , and so a preference like  $P'_i$  does not cause any issues. We have successfully identified a form to relax the domain from Single-Peaked to something more general without losing Monotonicity for that particular SCF.

We call each of the relaxations in the form  $(y, x) \in M_D^b$  (where x and y are

 $N = 3$ One Phantom Voter at E. One Phantom Voter at D



Figure 6: A Generalized Median Rule.

two nodes lying on opposite sides of b) a *breach*<sup>[7](#page-19-0)</sup>. As each breach represents a deviation from the constraints of Single-Peakedness, mapping the set of all possible breaches is equivalent to mapping the possibilities for a domain to deviate from a Single-Peaked one. Our proof follows this logic, first exploiting the fact that each breach is associated with an occurrence of Veto Power in a SCF to draw properties on how each breach must be placed on a graph. For instance, if alternative a can veto alternative  $b$ , then alternative  $b$  cannot veto alternative  $a$ . Similarly, we also find that if a can veto b and b can veto c, then a will also be able to veto c. As the number of alternatives is finite, this suggests that there must be at least one alternative that cannot be vetoed by any other alternative. In turn, characterizing the set of alternatives that cannot be vetoed by any other alternative is equivalent to characterizing the set of alternatives that can be vetoed, as the two sets are complements. All of these properties have counterparts as implications for the placement of breaches. For instance, we are able to conclude that there must exist (at least) one node from which we can derive the position of every breach in the domain, characterizing this set of breaches. Moreover, since we characterize the set of breaches by defining its complement, our characterization will also look like a set of statements of the form  $(y, x) \notin M_D^b$ , rather than  $(y, x) \in M_D^b$ 

This insight is also further generalized and explored on an upcoming paper. There, we show that, similarly to what Moulin have proved for Single-Peaked domains, the Social Choice Functions that are Well Behaved and Monotonic (and are defined on an MD-Connected domain) must also be generalized median functions of some sort, exactly as it must be the case for Single-Peaked domains. However, since we are departing from Single-Peaked domains, there is an extra condition that is placed on these functions. The extra condition that these SCFs must satisfy is to exhibit Veto Power exactly in conformity with the segments where the domain deviates from a traditional Single-Peaked one, as defined above.

<span id="page-19-0"></span><sup>&</sup>lt;sup>7</sup>In the proof section we will give an equivalent, but slightly different definition for a breach, one that it is more formal and more suited for our proofs, rather than for exposition.

## 4 Results

#### 4.1 Necessary and Sufficient Conditions

We state now our main result.

Theorem: If an MD-Connected domain admits a Well Behaved Monotonic SCF, then there must be an admissible pair  $(G, t)$  such that the domain satisfies the Minimum Reversals Condition for that admissible pair. Moreover, any domain that that satisfies the Minimum Reversals Condition for some admissible pair  $(G, t)$ admits a Well Behaved Monotonic SCF.

We leave the proof to the appendix.

The Minimum Reversals Condition is then necessary and sufficient for an MD-Connected domain to admit a Well Behaved Monotonic function. While the proof will be presented later, we would like to call to attention that the sufficiency result relies on an identical version of the generalization of the median voter rule for a tree that was used on Chatterji, Sanver and Sen (2013) [\[7\]](#page-31-2). Similarly to their result, MD-Connectedness is not needed for the sufficiency part of the result. The necessity part, while more convoluted, is also related to their work. As we will show next, the conditions for a domain to admit a Well Behaved Strategy Proof SCF imply the conditions for a domain to admit a Well Behaved Monotonic SCF within our richness condition. This is not a surprise, as Klaus and Bochet (2013) [\[11\]](#page-31-8) have shown that for domains with only strict preferences, Strategy Proofness implies MM.

We also want to emphasize a point made by Chatterji, Sanver and Sen [\[7\]](#page-31-2) about the tree structure that emerges for the Connectivity graph. This was not imposed as a primitive to the model, but rather something that emerged endogenously. In principle, the Connectivity graph could assume the shape of any connected graph, like a complete graph.

#### 4.2 Additional Characterization Results

The class of domains that satisfy the Minimum Reversals Condition has a complicated characterization, as it requires checking for each alternative the changes of preferences where that alternative maintains its position. It would be desirable to have a more convenient characterization of the domain, one that could be expressed in terms of restrictions on individual preferences, so that it could shed a light on how those preferences would have to behave. This is possible, if we strengthen our richness condition to Strong Path-Connectedness. When we do so, we are able to find a few properties that every individual preference in a domain that satisfies the Minimum Reversals Condition must exhibit. These properties are related to the Weak Semi-Single-Peaked domains that were presented earlier. We summarize these findings in the following Proposition:

Proposition 1: If a Strong Path-Connected domain satisfies the Minimum Reversals Condition for an admissible pair  $(G, t)$ , then it is a Weak Semi-Single-Peaked domain.

Remark 5: Not all Weak Semi-Single-Peaked domains will satisfy the Minimum Reversals Condition, even when they are Strong Path-Connected. This is illustrated on a further section through examples. As such, the class of Strong Path-Connected domains that are Weak Semi-Single-Peaked is larger than (and contains) the class of Strong Path-Connected domains that satisfy the Minimum Reversals Condition.

Remark 6: We need here a stronger version of the richness condition we were previously adopting, using Strong Path-Connectedness rather than MD-Connectedness. The reason for this is that MD-Connectedness is a much weaker property, with few implications on the preferences of a domain, whereas Strong Path-Connectedness allows us to make inferences about the way certain alternatives must be ranked within each preference with a particular alternative on top. As such, it is possible to have a domain that satisfies the Minimum Reversals Condition, but is not a Weak Semi-Single-Peaked domain, if such domain is not rich enough to be Strong Path-Connected. Nonetheless, for domains that have enough variety in preferences to satisfy this stronger richness condition, this Proposition gives useful properties that individual preferences of such domains must exhibit. In turn, these properties allow us to state a few more results.

**Proposition 2:** If a domain is Single-Peaked on the tree G, then it satisfies the Minimum Reversals Condition for all admissible pairs  $(G, t)$  that include  $G$ . Moreover, if the domain is Strong Path-Connected with Connectivity Graph G and satisfies the Minimum Reversals Condition for all admissible pairs that include G, then the domain is Single-Peaked.

Proposition 3: If a Strong Path-Connected domain satisfies the Minimum Reversals Condition for an admissible pair  $(G, t)$  and it is not a Single-Peaked domain, then any Well Behaved and Monotonic SCF defined on that domain violates No Veto Power

Proposition 2 makes the connection between Single-Peaked domains and the flexibility in selecting an admissible pair to satisfy the Minimum Reversals Condition more evident. As we see here, the key difference between a Single-Peaked domain and a richer domain that still satisfies the Minimum Reversals Condition lies on having a smaller set of alternatives that can function as an admissible pair. Proposition 3, in turn, links this with the presence of Veto Power on the Well Behaved and Monotonic SCFs for that domain, as we have alluded on our preliminary illustration of the results. Lastly, we have an additional characterization result that is related to the previous two:

**Proposition 4:** Let  $D$  be an MD-Connected domain that satisfies the Minimum Reversals Condition and  $\tau_D \in A$  its associated set of eligible thresholds. If  $x, z$ are two distinct nodes such that  $x, z \in \tau_D$ , then for every  $y \in \langle x, y \rangle$  we also have  $y \in \tau_D$ .

The idea behind this last result draws on the relation of Veto Power, departures from the Single-Peaked domain and the shrinking of the set of eligible thresholds. As seen, every time that a domain deviates from a Single-Peaked domain, two things happen: i) we are able to find a set of alternatives that can be vetoed in all (Well Behaved and Monotonic) SCFs defined on that domain; ii) the set of eligible thresholds decreases in size. These two things are not unrelated: if an alternative can be vetoed by all SCFs on that domain, then that alternative cannot be an eligible threshold. As one set expands, the other set contracts. This proposition then essentially says that all the alternatives that can be vetoed by either  $x$  or  $z$  will also be vetoed by  $y$ , and so  $y$  will also be in the set of eligible thresholds. Hence, the set of eligible thresholds is "convex" in the sense that if two distinct nodes, x and z are part of the set, then every node y in between them must also be a part of the set  $\tau_D$ . This helps painting a clearer picture on how domains that satisfy the Minimal Reversals Condition must look like - something useful, given how elusive a characterization of such domains tends to be.

## 5 Examples

#### 5.1 The Case of Single Peaked Domains

Our first example is a classic Single Peaked domain, to serve as a simple illustration of the ideas presented so far.

Let the set of alternatives be  ${a_k}_{k=1}^4$ . The preferences on the domain are shown in the table below, with the numbers on the first column being used to identify each preference:



This is an MD-Connected (and in particular a Strong Path-Connected) domain and a Single Peaked domain for the linear order  $a_1 > a_2 > a_3 > a_4$ . To verify the Minimum Reversals Condition, we need to specify first an admissible pair. For this, consider the graph given by the Connectivity Graph of this domain:  $a_1 \approx a_2 \approx a_3 \approx a_4$ , along with node  $a_1$ . This admissible pair then requires the following:

- Alternative  $a_2$  cannot maintain its position when going from preferences 4, 5 or 6 to preference 1.
- Alternative  $a_3$  cannot maintain its position when going from preference 6 to preferences 1, 2, or 3.

As it can be easily verified, these conditions are met. However, we could also use the admissible pair given by the same Connectivity Graph, but taking node  $a_3$  instead. In this case, the Minimum Reversals Condition would then require the following:

- Alternative  $a_3$  cannot maintain its position when going from preference 6 to preferences 1, 2 or 3, or when going from preferences 1, 2 or 3 to preference 6.
- Alternative  $a_2$  cannot maintain its position when going from preference 1 to preferences 4, 5 or 6.

These conditions are also met. In fact, alternatives  $a_2$  and  $a_4$  could also be picked to create an admissible pair together with the Connectivity Graph that would also satisfy the Minimum Reversals Condition. We could even use a different graph, say, permuting the positions of  $a_2$  and  $a_3$  on the graph. This shows that some domains might be compatible with different thresholds for the same path. This is not a problem, and our Theorem requires only that there must exist at least one admissible pair such that the Minimum Reversals Condition is satisfied for that domain to be compatible with the existence of a Well Behaved Monotonic SCF. More generally, we have that any Single-Peaked domain satisfies the Minimal Reversals Condition, as seen in Proposition 2.

#### 5.2 Weak SSP as a superset of SSP domains

We show now one example of a Weak Semi-Single-Peaked domain that is not a Semi-Single-Peaked domain. This example will also highlight a distinctive feature of this new class of domains, which is that we can find a SCF that satisfies MM without being strategy proof.

#### 5.2.1 The Domain

The Domain is composed of five alternatives,  $A, B, T, X, Y$ . We will identify the preferences on the Domain by numbers.



We can easily check that the Domain is Strong Path-Connected, (and thus, MD-Connected as well) and the Connectivity Graph is rather simple:  $A \approx B \approx T \approx$  $X \approx Y$ . However, this is not a semi-single-peaked Domain. We can see that by checking that there is no alternative that can act as a threshold for the domain. For a semi-single-peaked domain, the Connectivity Graph of the domain must form an admissible pair<sup>[8](#page-24-0)</sup>, so we need only to check for thresholds on that graph, rather than checking all possible graphs.

- Alternative A cannot be the threshold, as preference 8 would violate the condition that alternatives decrease in ranking from the peak to the threshold, since  $A > T$ .
- Alternative  $B$  cannot be the threshold, as preference 5 would violate the condition that alternatives beyond the threshold must be ranked lower than the threshold.
- Alternative T cannot be the threshold, as preference 1 would violate the condition that alternatives beyond the threshold must be ranked lower than the threshold.

<span id="page-24-0"></span><sup>8</sup>This comes from the fact that if a domain is Semi-Single-Peaked, it admits a Well Behaved strategy-proof SCF, and, conversely, if it is a Strong Path-Connected domain that admits a Well Behaved and strategy-proof SCF, then its Connectivity Graph must form an admissible pair such that the domain is Semi-Single-Peaked with relation to that admissible pair.

- Alternative X cannot be the threshold, as preference 1 would violate the condition that alternatives beyond the threshold must be ranked lower than the threshold.
- Alternative Y cannot be the threshold, as preference 1 would violate the condition that alternatives decrease in ranking from the peak to the threshold, since  $Y > T$ .

Nonetheless, we can verify the following in this domain:

- $\bullet$  B does not maintain its position when going from preference 1 to preferences 4, 5, 6, 7, 8, as  $B > T$  in 1, but  $T > B$  in 4, 5, 6, 7, 8.
- X does not maintain its position when going from preference 8 to preferences  $1, 2, 3, 4, 5$ , as in 8 we have  $X > T$  and  $T > X$  in  $1, 2, 3, 4, 5$
- T does not maintain its position when going from 1, 2 or 3 to either 6, 7 or 8, as  $T > X$  in the first three, but  $X > T$  in the last three. Conversely, it also does not maintain its position when going from 6, 7, 8 to 1, 2, 3, as  $T > B$  in 6, 7, 8, but  $B > T$  in 1, 2, 3.

This is enough to check the Minimal Reversals Condition, using the Connectivity Graph and node T as an admissible pair. For this admissible pair, the Condition requires the following:

- $\{(A, T), (A, X), (A, Y)\}\notin M_D^B$
- $\{(Y, T), (Y, B), (Y, A)\}\notin M_D^X$
- $\{(A, X), (A, Y), (B, X), (B, Y), (X, A), (X, B), (Y, A), (Y, B)\}\notin M_D^T$

As we have just seen, these conditions are met for this domain. Thus, by Proposition 1, the domain is a Weak SSP domain, despite not being a SSP domain.

#### 5.2.2 The SCF

The SCF is a simple one, with only two players and it takes the form of a median voter rule with a phantom voter at alternative T and the linear order of  $A > B$  $T > X > Y$ . Hence  $f(P_i, P_j) = median(r_1(P_i), r_1(P_j), T)$ . We can see quite easily that this function is Well Behaved.

#### 5.2.3 Monotonic, but not Strategy-Proof

First we check that the function does not satisfy strategy-proofness. Indeed, look at the profile where the first player has preference 1 and the second has preference 8. In this scenario, the first player has an incentive to report having preference 8 instead, since the outcome when he reports truthfully is  $T$ , but the outcome of the misrepresentation is  $Y$ , which is preferred to  $T$  under his true preference.

Nonetheless, this SCF does satisfy MM. To check it, we need to look at the pivotal scenarios.

- First, note that whenever the SCF changes because the alternative selected loses a vote, this does not violate MM. It is clear that changing a preference from one where the alternative was the top-ranked one to anything else it can't be the case that the alternative maintains its position, so the change is warranted.
- Hence, any changes when the outcome is either A or Y never violate MM, as these alternatives are only selected when they get both votes, so the only way to move away from them is by changing a vote for them to another alternative.
- For alternative  $B$ , it is possible to change the outcome of the SCF by changing a vote for A to either  $T, X$  or Y (while keeping the other vote in B). But as we saw in the first section, B does not maintain it's position in these cases.
- A similar argument applies for the case where  $X$  is the outcome of the SCF. That can only happen when either  $X$  loses a vote or when a vote changes from Y to either  $A, B$  or T, and in none of this scenarios X maintain it's position.
- Finally, when the outcome of the SCF is  $T$ , it means that the profile is not one of the following:  $(1, 1), (1, 2), (1, 3), (2, 1), (2, 2), (2, 3), (3, 1), (3, 2),$  $(3,3)$ ,  $(6,6)$ ,  $(6,7)$ ,  $(6,8)$ ,  $(7,6)$ ,  $(7,7)$ ,  $(7,8)$ ,  $(8,6)$ ,  $(8,7)$ ,  $(8,8)$ . Then, for the SCF to change from  $T$  to another outcome, it must involve going from one of the profiles not listed above to a profile that was listed. All these changes involve one of three scenarios: i) a change from a preference 4 or 5 to another preference, which imply a loss of votes for  $T$ , so a valid change; ii) changing a preference from 1, 2, 3 to 6, 7, 8, which as seen before imply that  $T$ also does not maintain its position; or iii) changing a preference from 6, 7, 8 to 1, 2, 3, which again, as seen before, also imply that  $T$  does not maintain its position.

Hence, the SCF satisfies MM on this Domain.

#### 5.3 Weak SSP and the Minimum Reversals Condition

To illustrate the subtlety of the Minimum Reversals Condition, we will present two examples of Weak Semi-Single-Peaked domains, one that violates the Minimum Reversals Condition and one that satisfies it.

The first example is a domain composed of six alternatives,  $A, B, C, T, X, Y$ . Once more, we identify the preferences on the Domain by numbers:



We can check easily that this is a Strong Path-Connected (and thus, an MD-Connected) domain whose Connectivity Graph is given by  $A \approx B \approx C \approx T \approx X \approx$ Y. Moreover, using  $T$  as the threshold of the unique path of this graph, we can also easily verify this is a Weak Semi-Single-Peaked domain. Nonetheless, it violates the Minimum Reversals Condition since alternative B maintains its position when going from preference 1 to preference 12. As  $A \in \xi(A, B)$ ,  $T \notin \xi(A, B)$  and  $Y \in$  $\xi(C, B)$  we have that  $(A, Y) \in M_D^B$  violates the Minimum Reversals Condition.

Our second example involves eight alternatives,  $A, B, C, T, X, Y, O, P$ . The individual preferences of this domain are:



This is once more an example of Weak Semi-Single-Peaked domain. The Connectivity Graph of this domain is composed of three maximal paths:  $A \approx B \approx$  $C \approx T \approx X \approx Y$ ;  $A \approx B \approx C \approx T \approx O \approx P$ ; and  $O \approx P \approx T \approx X \approx Y$ . Alternative T acts as the threshold in all three paths.

This domain not only satisfies the Minimum Reversals Condition, but it has an unusual connection with the last example: along the path  $A \approx B \approx C \approx T \approx X \approx$  Y every alternative is ordered the same as in the last domain. In fact, if we erase alternatives  $O$  and  $P$ , the first 12 preferences of this new domain are identical to the 12 preferences of the previous one. However, here a move from preference 1 to preference 12 does not violate the Minimum Reversals Condition, and the reason for it is that alternative  $B$  beats alternative  $P$  on preference 1, but is beaten by it on preference 12. Thus, it was an alternative that is not on the same path that contains alternatives  $A, B$  and  $Y$  that made possible for that change of preferences to satisfy the Minimum Reversals Condition. This shows how it is necessary to look at the domain as whole, rather than at how individual preferences are restricted, even along a particular path.

#### 5.3.1 Strengthening Weak Semi-Single-Peaked domains

As seen above, the restrictions implied by Weak Semi-Single-Peaked domains are also implied by the Minimal Reversals Condition, but the latter is stronger than the former, demanding more. A natural question is to ask if there is a way to strengthen the conditions of Weak SSP domains, so that they are now sufficient to guarantee that the Minimal Reversals Condition hold. Alternatively, we could also ask if we can somewhat relax the conditions on SSP domains - which do contain the Minimal Reversals - , so that we include more preferences while not losing the existence of a Well Behaved Monotonic SCF.

Indeed, it is possible to find an intermediary between both. Let  $\mathbb{D}_{(G,t)}^{WSSP}$  and  $\mathbb{D}_{(G,t)}^{SSP}$  denote the sets of Weak SSP domains compatible with the admissible pair  $(G, t)$  and the set of all the SSP domains compatible with the admissible<sup>\*</sup> pair  $(G, \beta_t(\delta))$ , where  $\beta_t(B\delta)$  is the function that assigns the projection of t onto every maximal path  $\delta$  of  $G<sup>9</sup>$  $G<sup>9</sup>$  $G<sup>9</sup>$ . We can then state the following proposition:

**Proposition 5:** Take any  $\mathcal{D}^{SSP} \in \mathbb{D}_{(G,t)}^{SSP}$ , and any  $\mathcal{D}^{WSSP} \in \mathbb{D}_{(G,t)}^{WSSP}$ . Fix some  $p \in A, q \in \alpha(p)$  and let  $D^p, D^q \subset \mathcal{D}^{WSSP}$  be the subset of all orderings  $P_i^p$  $i^p$  and  $P_i^q$  $s_i^q$  such that p and q are the top-ranked alternatives in  $P_i^p$  $P_i^p$  and  $P_i^q$  $e_i^q$ , respectively, as defined in section 2. Then the domain  $\mathcal{D} = \mathcal{D}^{SSP} \cup D^p \cup D^q$  satisfies the Minimal Reversals Condition.

While we defer the proof to the appendix once more, we note that Proposition 5 corroborates our broader point on the Minimal Reversals Condition being a holis-

<span id="page-28-0"></span><sup>9</sup>This is the original definition of admissible pair of Chatterji, Sanver and Sen (2013) [\[7\]](#page-31-2), which we are here calling an admissible\* pair. On their work, they define an admissible pair as a tree graph and the set of projections of a specific node on every maximal path of that graph. We opted to define it based on the node itself, instead of its projections, and gave the original definition a slightly different name to avoid confusions.

tic condition that cannot be expressed solely on terms of preferences restrictions. Indeed, as shown by Proposition 5, any preference  $P_i^a$  that is compatible with a Weak SSP domain can be a part of a domain that satisfies the Condition, by choosing the appropriate set  $D^a$  and a suitable SSP domain to append it. The Condition is only violated when many of such preferences are found on the same domain.

## 6 Conclusion

In this paper we have attempted to characterize (rich) domains of preferences that admit Well Behaved and Monotonic social choice functions. These domains are shown to be related other variants of single-peaked domains. Unlike traditional variants of single-peaked domains, however, this new class of domains cannot be fully described by a set of restrictions on individual preferences. Instead, the characterization is more holistic and requires relating each preference to every other in the domain.

To reach this characterization, we developped a new methodology. We translated the expression of Maskin Monotonicity to a property that links certain features of the domain to features of the social choice function. This allows us to work primarily with the social choice functions, which are objects easier to manipulate than preference domains. Once the properties of the social choice function are uncovered, we can translate them back as restrictions on the domain. This is different from the approach adopted by Chatterji, Sanver and Sen (2013) [\[7\]](#page-31-2). Their method involves mapping the (even) N-agent case to a 2-agent case. With only two agents, Unanimity implies that in every profile where the two agents disagree there is a way to change the outcome of the SCF, by having a single agent to change its vote to agree with the other. In other words, with only two agents, every profile is either unanimous or pivotal (or both). This makes it much easier to check if the more classic definition of MM (as opposed to the alternative one we employed in our proofs) holds for each profile. In contrast, our approach is more transparent, as we work directly with the properties of the existent social choice functions, instead of relying on a two-player representation of them. We believe that our approach, when adapted to express strategy-proofness (instead of Monotonicity) as a set of joint restrictions on domains and SCFs, could also be applied to solve the case of an odd number of players on that paper.

We conjecture that there is a connection between the number of possible admissible pairs and the SCF. The more admissible pairs a domain has that satisfy the Minimal Reversals Condition, the greater is the number of SCFs that will be Well Behaved and monotonic on that domain. For example, for generalized median voter rules, we believe that the phantom voters can only be placed on alternatives such that there is an admissible pair where that alternative plays the role of  $t$ . This is expected, as more admissible pairs compatible with the domain means that there are many reversals on that domain, which in turn implies that there are less restrictions placed on the shape of the SCFs for that domain, via the relation between the  $M_D$  and  $C_f$  sets. Moreover, the Lemmas in the appendix reveal already some properties that the SCF must exhibit. For instance, any Well Behaved Monotone SCF defined on such a domain must behave similarly to a median rule in the sense that changing votes between alternatives that lie on the same "side" of the outcome (which, on a tree graph, it would correspond to alternatives lying on the same subgraph) does not change the outcome of the SCF.

While the necessity part of our paper deviated substantially from Chatterji, Sanver and Sen (2013) [\[7\]](#page-31-2), the proof of sufficiency employed exactly the same SCF. The expression of that SCF is convenient for the properties we wanted to check on that section, but it could also be expressed as a particular version of a median voter rule on a tree. Once more, given how single-peakedness and median rules are related, this is unsurprising. In fact, all the additional properties of the SCFs that were implied by Lemmas 1, 2, 3 and 7 are properties shared by median rules on a tree.

Lastly, in this paper we also described a way to express the holistic restrictions implied by the Minimal Reversals Condition in terms of a restriction on individual preferences. We found that some of the restrictions implied by the Condition can be expressed by a set of of properties of each preference relation, naming the class of domains where these restrictions hold Weak Semi-Single-Peaked domains. However, the restrictions implied by these domains are not sufficient to enforce the Minimal Reversals Condition. We provided then a method to create domains that are compatible with the Condition that involves checking restrictions only on the individual preferences, by combining some preferences that came from a Weak Semi-Single-Peaked domain with preferences that satisfy the more stringent requirements of Semi-Single-Peaked domains.

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## A An Alternative Expression of Maskin's Monotonicity

Our problem can be understood, in a broad sense, as an analogous to a constrained maximization problem, as we are seeking to find the largest domains that can still sustain a SCF that is Monotonic and Well Behaved. This is a complex problem to solve. Fortunately, there is an alternative formulation of this problem that is more tractable. In this alternative formulation, the problem is expressed in terms of the SCF, which not only is an object that is easier to work with, but also is now compatible with the way the restrictions of the problem are expressed. To make this alternative formulation, we first need to express the Monotonicity condition in a way that is more convenient for us.

We can think of MM as a rule that broadly says "if certain inputs are provided to the SCF and certain outputs are obtained as a result, this rule is violated". Thus, if the rule is to not be violated, then we can either exclude the problematic inputs, so that they never happen, or change the outputs associated with those inputs, so that they are now acceptable. While this logic stands on its own, regardless of other assumptions, when we pair it with the properties of Well Behaved functions, we can make a precise formulation of it by using the  $M_D$  and  $C_f$  sets:

Claim: A Well Behaved Social Choice Function f satisfies MM for the domain D if and only if for every alternative  $a \in A$ , the intersection  $C_f^a \cap M_D^a$  is empty. **Proof:** Assume that there are alternatives  $a, b, c$  such that  $(b, c) \in C_f^a \cap M_D^a$ . As  $(b, c) \in C_f^a$ , that means that there are profiles P, P' such that a is the alternative selected by the SCF at  $P$ , but not the alternative selected at  $P'$ , and the votes between the two profiles differ only by a single voter (assume that it is the first voter; by Anonymity, this is without loss of generality) flipping its vote from  $b$  to  $c$ . Because  $f$  satisfies Anonymity and Tops-Only, we can specify that  $P = (P_{1b}, P_2, P_3, \ldots, P_n)$  and  $P' = (P_{1c}, P_2, P_3, \ldots, P_n)$ , where  $P_{1b}$  and  $P_{1c}$  are preferences with  $b$  and  $c$  ranked first, respectively. Now, because the pair  $(b, c)$ belongs to  $M_D^a$ , we can also find preferences  $\hat{P}_{1b}$  and  $\hat{P}_{1c}$  where b and c are also ranked first, respectively, but such that  $\hat{P}_{1b} \mapsto_a \hat{P}_{1c}$ . Then, we can specify the profiles  $\hat{P} = (\hat{P}_{1b}, P_2, P_3, \dots, P_n)$  and  $\hat{P}' = (\hat{P}_{1c}, P_2, P_3, \dots, P_n)$ . By Tops-Only of f, we must have  $f(\hat{P}) = a$  and  $f(\hat{P}') \neq a$ , which violates MM as  $\hat{P} \mapsto_a \hat{P}'$ . Thus, whenever  $C_f^a \cap M_D^a \neq \emptyset$ , MM is violated.

For the suffiency part, assume that MM is violated, that is, there are two profiles  $P^0 = (P_1, P_2, P_3, \ldots, P_n)$  and  $P^n = (P'_1, P'_2, P'_3, \ldots, P'_n)$  such that  $f(P^0) = a$ ,  $f(P^n) \neq a$  and  $P^0 \mapsto_a P^n$ . Let profile  $P^1$  be defined as  $(P'_1, P_2, P_3, \ldots, P_n)$ . If  $P^0 \mapsto_a P^n$ , then we must have  $P^0 \mapsto_a P^1$ . Assume that  $f(P^1) \neq a$ . Then, because f satisfies Tops-Only, we must have that the top ranked alternatives in  $P_1$ 

and  $P'_1$  are different, call them b and c, respectively. We then have that  $P^0 \mapsto_a$  $P^1 \Rightarrow P_1 \mapsto_a P'_1 \Rightarrow (b, c) \in M_D^a$ . Similarly, as  $P^0$  and  $P^1$  differ in a single vote, we will have that  $(b, c)$  also belongs to  $C_f^a$ , as it is a pivotal change when the outcome of the SCF is a. This imples that  $(b, c) \in C_f^a \cap M_D^a$ . If  $f(P^1) =$ a, we proceed to the next voter, constructing profile  $P^2$  in a similar fashion as  $P^2 = (P'_1, P'_2, P_3, \ldots, P_n)$ . Because  $P^0 \mapsto_a P^n$ , we will have that  $P^1 \mapsto_a P^2$  and, more generally, between any two profiles  $P^{j-1}$ ,  $P^j$  constructed in this way we will have  $P^{j-1} \mapsto_a P^j$ . Similarly, because  $f(P^n) \neq a$ , we know that there will be an index  $j = 1, \ldots, n$  that  $f(P^{j-1}) = a$  and  $f(P^j) \neq a$ . Then we can apply the same reasoning illustrated above to that case. Thus, whenever MM is violated,  $C_f^a \cap M_D^a \neq \emptyset$ . \*

This alternative definition allows us to translate some of the properties of the SCF into properties for the domain of the function and vice-versa. As functions are easier to analyze, this is extensively used in our proofs.

## B Sketch of Proof

#### B.1 General Overview

To prove the necessity part, we need to establish three things: i) find a tree graph G whose set of nodes matches the set of alternatives for the domain in question; ii) among the nodes of this graph, pick one node t to form an admissible pair with  $G$ ; iii) verify that the Minimal Reversals Condition holds for this choice of admissible pair. Hence, our proof for this is also divided in three blocks. The first block, composed by Lemmas 1 to 6, deals with proving that the Connectivity Graph of the domain is a tree graph. We do so by proving that any cycle in the connectivity graph implies a contradiction for the SCF. The second block, composed of Lemmas 7 to 12, deals with proving the existence of a special node (called the threshold) in the Connectivity Graph with some useful properties, which will be our choice for  $t$ . This is achieved by showing that all the breaches (a concept that will be presented later on) must be oriented in such a way that implies a common origin point. Finally, the third block, composed of Lemmas 13 to 15, proves that the Minimal Reversals Condition is indeed verified when taking the Connectivity Graph and the threshold as an admissible pair. We do this by exploiting the properties of the threshold found.



Figure 7: A Connectivity Graph

#### B.2 An Illustration

We provide now a small illustration of the most important properties exhibited by the domains and SCFs that we are studying. They are derived from the Lemmas in the necessity part and understanding them provides a good overview of the reasoning behind that proof. This illustration will be based off a domain with the following Connectivity Graph:

- Take any two alternatives, say x and z. Since the graph is connected, there must be at least one path from  $x$  to  $z$ . Pick now a third alternative, say, s. Our first property is the following: whenever the outcome of the SCF is  $s$  for some profile P, if there is a path connecting x and z that **does not** include s, then we can take all of the votes for alternative x at profile  $P$  and give them to alternative  $z$  (creating a new profile,  $P'$ ) without changing the outcome of the SCF; i.e, at profile  $P'$ , the outcome must still be s. We could also do the reverse and transfer all the votes from z to x, the direction is not important. All that matters is that there is a path between the two alternatives that does not pass through the outcome. So, for instance, if the outcome were c instead we would not be able to do this procedure between  $z$  and  $x$  - but would still be able to transfer these votes between x and  $a$ , for example. When we can move votes freely between two alternatives without altering the outcome of the SCF, we say that x and z share votes under s. This property is similar to what happens in generalized median rules, and it comes from Lemmas 1, 2 and 5. This is the essence of our approach, and it translates properties from the domain (like the Connectivity Graph) into implications about the (Well Behaved and Monotonic) SCFs on that domain.
- Conversely, alternatives that lie on opposite sides of a particular node, like  $x$ and  $z$  relative to  $c$ , must **not** share votes under  $c$ . One way transfer, like from  $z$  to x under c, but not the other way around, is still allowed, but we cannot have both x transferring votes to z and z transferring votes to x under c at the same time. This is explained in Lemmas 4 and 5.



Figure 8: Several breaches represented by arrows.

- The Connectivity Graph must be a tree. This comes essentially from the two properties above. If a cycle is allowed - say, alternatives  $y$  and b are both connected to  $s$  - then we could find a path from x to z without passing through c. By the first property, x and z would share votes, but by the second they would not. The only way to keep consistency is by having no cycles in the graph.
- The possibilities of vote transferring can be "added" together to form longer paths. For instance, if y can transfer votes to b under c, then essentially  $z$ can transfer votes to x under c: from z to y, then from y to b, then from b to x. Similarly, if it just so happens that  $x$  can transfer votes to  $d$  under  $s$ (something that is possible, even if not shown in the Connectivity Graph), then as a consequence  $r$  will be able to transfer votes to  $c$  under  $s$ , by going from r to d, then from d to x, then from x to c.
- Take a pair of adjacent alternatives, like w and r. If alternative w can transfer votes under r to some other alternative on the opposite side of r, like s, then we say that  $(r, w)$  forms a breach.
- A domain might not exhibit any breaches. If such is the case, then any node can be picked as a threshold node.
- If a domain does exhibit at least one breach, say,  $(r, w)$ , then we can draw an arrow representing that breach, starting from the second node of the pair towards the first node (for example, the arrow would start at w and have the arrowhead at  $r$ ). If there are any more breaches in a domain, no two arrows must be pointing towards the same node. Figure 8 illustrates this. All the breaches represented by the arrows in green are compatible with one another, but the breach  $(c, y)$ , represented by the red arrow, is not compatible with the rest, at it points towards the arrow representing breach  $(c, s)$ . This is explained in Lemma 8.
- As all breaches must follow the same orientation and the number of nodes in the graph is finite, there must be at least one node serving as an origin point

for all breaches. In our example (ignoring the breach in red), that would be node t. This is explained in Lemmas 7, 8, 9, 10, 11 and 12. We call this origin point of the breaches the threshold node.

The last step of the proof is essentially combining all of the properties above to identify which alternatives cannot share votes and translate this set of restrictions for the SCF back into a set of restrictions for the domain. For instance, assume that we have breaches as depicted in Figure 8 (ignoring the one in red). It follows that it is not possible to transfer votes from  $x$  to  $z$  under  $c$ , since this movement would imply the existence of a breach  $(c, b)$  and this breach goes against the other green breaches, similarly to what happened with the breach  $(c, s)$  represented by the red arrow. This restriction for the SCF, in turn, implies that alternative  $c$ must not maintain its position when going from any preference with  $x$  on top to any preference with  $z$  on top (i.e., some reversals must occur between these preferences).

## C Complete proofs

Our starting point is a simple well known fact related to MM: changing a vote from any other alternative to alternative a makes a maintain its position and as such, if a was the social choice before, a must still be the social choice after receiving more votes, by MM. Then, by using the notation developed in the Model section, we have:

Fact 1: Let f satisfy MM. Fix any alternative a. In any minimally rich domain,  $(b, a) \notin C_f^a \ \forall b \neq a.$ 

Assume henceforth that f is a Well Behaved SCF satisfying MM.

**Lemma 1:** If  $f(P) = a$  and  $(b, c) \notin C_f^a$ , then  $f(P') = a$  for all profiles P' satisfying:

- 1.  $v(b, P') = v(b, P) k, k \le v(b, P);$
- 2.  $v(c, P') = v(c, P) + k$
- 3.  $v(d, P') = v(d, P), \forall d \neq b, c$

**Proof:** When  $k = 1$ , the result is immediate from the definition of the set  $C_f^a$ . as  $(b, c)$  is not a part of this set, then  $f(P) = f(P') = a$ . For k greater than one, we can proceed as follows. First, rearrange profile  $P$  such that the first  $k$  voters all vote b in P. This is allowed by Anonymity. Then, define profile  $P<sup>1</sup>$  as being identical to profile  $P$ , with the only difference that the first voter in  $P<sup>1</sup>$  votes for c instead of b. By the argument above,  $f(P^1) = a$ . Now construct profile  $P^2$  in a similar fashion, with it being identical to profile  $P$ , except that now the first two voters vote for c instead of b. By the same logic, we must have  $f(P^2) = a$ . Proceed with this construction, until you reach  $P^k$ . We must have that  $f(P^k) = a$ . We also have that the total of votes in  $P^k$  is exactly the same as in  $P'$ , for every alternative. Then we can use Anonymity once again to rearrange the voters in  $P^k$ to the configuration in P' and have that  $f(P') = a$ .

**Definition:** We say that alternative b transfers votes to alternative c under a whenever there is a sequence of alternatives  ${x_j}_{j=1}^k$  such that  $x_1 = b, x_k = c$ and for any  $j < k$ , we have  $(x_j, x_{j+1}) \in M_D^a$ . We also say that **alternatives** b and c share votes under a whenever b transfers votes to c and c transfers votes to b under a.

An important remark about the two definitions above is that the sequences implied by those definitions need not to form a path in the Connectivity Graph.

**Lemma 2:** Let  $\lambda \in A$  be a subset of alternatives such that any two alternatives in  $\lambda$  share votes under a. Then if  $f(P) = a$  we have that  $f(P') = a$  for all profiles P ′ satisfying:

- $\sum_{j \in \lambda} v(j, P') = \sum_{j \in \lambda} v(j, P)$
- $v(d, P') = v(d, P), \forall d \notin \lambda$

**Proof:** We proceed by induction on the number of alternatives in  $\lambda$ . First, we will show that when there are only two alternatives, the result holds. Call these two alternatives in  $\lambda$  b and c. We assume that b and c share votes under a, which translates into the existance of two sequences: the first is a sequence of alternatives such that the first element is  $b$ , the last element is  $c$ , and every pair of sucessive elements in the sequence,  $(x_j, x_{j+1})$  is in the set  $M_D^a$ ; the second is a sequence with the same properties as the first, except that the first element is  $c$  and the last element is b.

Since under our assumptions when a pair  $(x_j, x_{j+1})$  belongs to  $M_D^a$  then the same pair does not belong to  $C_f^a$ , the conditions for Lemma 1 are satisfied and we can apply it multiple times. Let  $k = v(b, P') - v(b, P)$  and assume first that k is negative, i.e., the initial profile  $P$  has more votes for  $b$  then the desired profile

 $P'$ . Use Anonymity to rearrange profile P by making the first k voters all vote for b. From the assumption that b shares votes with c, there is an alternative  $x_2$ such that  $(b, x_2) \in M_D^a$ . By MM of f, then  $(b, x_2) \notin C_f^a$ . Then we can apply Lemma 1 to change those k votes from b to  $x_2$  without changing the outcome of the SCF. We now can repeat this process again with alternatives  $x_3, \ldots, c$  within the sequence implied by the assumption that  $b$  and  $c$  share votes, until those first k voters all vote for c. By repeatedly applying Lemma 1, the outcome of the SCF at this new profile must still be a. Finally, by Anonymity, we can then rearrange back the order of the voters to match  $P'$ . For the case where k is negative, we do the inverse procedure, starting with the first  $k$  voters all voting for  $c$  and applying Lemma 1 along the alternatives in the sequence implied by the assumption that  $b$ and c share votes, until we have that the first  $k$  voters are all voting for  $b$ .

That shows that the result is valid for  $\lambda$  with only two alternatives. Now assume that this is true for any subset of A containing l alternatives or less, and let  $\lambda$ contain  $l + 1$  alternatives. Take  $\lambda'$  as an arbitrary subset of  $\lambda$  with l alternatives, and let  $b \in \lambda'$  and  $c \in \lambda - \lambda'$ . Define  $k = v(c, P') - v(c, P)$  and assume first that k is negative. Then, because  $b$  and  $c$  share votes, we can apply the case for 2 alternatives to create a profile  $P<sup>1</sup>$  that is identical to P, except that k voters that had voted for c in P now vote for b in  $P^1$  and we still have  $f(P^1) = a$ . Now, we have that  $v(c, P<sup>1</sup>) = v(c, P')$  and hence  $\sum_{j \in \lambda'} v(j, P<sup>1</sup>) = \sum_{j \in \lambda'} v(j, P'), v(d, P<sup>1</sup>) = v(d, P'),$  $\forall d \notin \lambda'$  and we can now apply the induction hypothesis to go from  $P^1$  to  $P'$ without changing the outcome of the SCF. For the case where  $k$  is positive, note first that  $\sum_{j\in\lambda} v(j, P') = \sum_{j\in\lambda} v(j, P)$  and  $k = v(c, P')$  $\sum$  $) - v(c, P)$  positive implies  $j\in\mathcal{N}} v(j, P) = \sum_{j\in\mathcal{N}} v(j, P^j) + k$ . Now use the induction hypothesis to create a profile  $P^1$  such that  $v(b, P^1) = v(b, P') + k$  and the votes of every alternative other than b and c in  $P<sup>1</sup>$  matches the votes for those alternatives in  $P'$ . Then we apply again the case for two alternatives to change the votes of  $k$  voters that voted for  $b$ at  $P^1$  to votes for c, arriving at P'.  $\star$ 

**Lemma 3:** Let  $\lambda \in A$  denote a subset of alternatives, and let  $\mathcal{P}(\lambda)$  denote the set of all profiles such that  $P \in \mathcal{P}(\lambda)$  if and only if  $v(a, P) = 0$  for all  $a \notin \lambda$ . Let also alternative  $x \in \lambda$  be such that for every  $p, q \in \lambda$ ,  $p, q \neq x$ , we have that p and q share votes under x. Then there is a number  $v_x^*(\lambda)$  such that for any profile  $P \in \mathcal{P}(\lambda)$ ,  $f(P) = x \Leftrightarrow v(x, P) \ge v_x^*(\lambda)$ .

**Proof:** Let the set  $\Phi_x \subset \mathbb{N}$  be defined as  $v_x \in \Phi_x \Leftrightarrow \exists P' \in \mathcal{P}(\lambda) : v(x, P') = v_x$ and  $f(P') = x$ . By Unanimity, the set  $\Phi_x$  is not empty and because there is a finite number of voters, there is a minimal element to this set, call it  $v_x^*(\lambda)$ .

Assume first that P is a profile in  $\lambda$  with  $v(x, P) = v_x^*(\lambda)$ . By the definition of  $\lambda$ , we have that  $\sum_{y \in \lambda - \{x\}} v(y, P) = n - v(x, P) = n - v_x^*(\lambda)$  - that is, the sum

of votes for every alternative other than  $x$  is equal to the total number of votes, minus the votes that x received in this profile,  $v_x^*(\lambda)$ . From the definition of  $v_x^*(\lambda)$ there is a profile P' such that  $\sum_{y \in \lambda - \{x\}} v(y, P') = n - v_x^*(\lambda)$ , that is, a profile P' where x has the same number of votes than in  $P$  and thus, the number of votes for every alternative *other than* x is also the same as in P. Hence, if  $v(x, P) = v_x^*(\lambda)$ ,  $\sum_{y\in\lambda-\{x\}} v(y, P') = \sum_{y\in\lambda-\{x\}} v(y, P)$  and because  $f(P') = x$  we can then apply Lemma 2 to have that  $f(P) = x$  in this case.

The case where  $v(x, P) > v_x^*(\lambda)$  comes then from Fact 1 and Lemma 1. Take the profile P' implied by  $v_x^*(\lambda)$ , and select any number of alternatives in  $\lambda$  such that their total number of votes is at least equal to  $v(x, P) - v_x^*(\lambda)$  (which should exist, since by the definition of  $P' \in \mathcal{P}(\lambda)$ , no other alternatives receive any votes). By Fact 1, for any alternative  $a, (a, x) \in C_f^x$ , and then, by Lemma 1, we can convert the votes from each of those alternatives into votes for  $x$  without altering the outcome of the SCF. Call this new profile P''. Then we will have that  $\sum_{y\in\lambda-\{x\}} v(y, P'') =$  $\sum_{y\in\lambda-\{x\}} v(y, P)$  and  $f(P'') = x$ , so we can proceed as above and apply Lemma 2 to have that  $f(P) = x$ .

This proves the sufficiency part of the result. For the necessity part, it comes from the definition of  $v_x^*(\lambda)$  as the minimal element of the set  $\Phi_x$ .

**Lemma 4:** Let f be a Well Behaved SCF satisfying MM. Let also  $a, b$ , and  $c$ be alternatives in A such that:

- b and c share votes under  $a$ .
- b and a share votes under  $c$
- if  $|A| > 3$ , then a, b, and c share votes under any other alternative  $d \neq a, b, c$ .

Then alternatives a and c cannot share votes under b.

**Proof:** We divide the proof into a series of steps. Define the set  $\lambda = \{a, b, c\}$  and the set  $\mathcal{P}(\lambda)$  again as the set of profiles P such that  $v(d, P) = 0$  for any  $d \notin \lambda$  (for a domain with only three alternatives, this distinction is not meaningful and  $\mathcal{P}(\lambda)$ is the set of all possible profiles). Then, we can proceed to the first step.

Step 1: For any  $P \in \mathcal{P}(\lambda)$ ,  $f(P) \in \lambda$ . This is trivial for the case where there are only three alternatives, so we will check the case where there are four or more. We will make a proof by contradiction, so assume the above statement is false. Then we can find a profile  $P \in \mathcal{P}(\lambda)$  such that  $f(P) = d \neq a, b, c$ . However, the sum of votes for alternatives a, b, c in  $\mathcal{P}(\lambda)$  is equal to n, and the three alternatives share votes under  $d$ . Then we can transfer all the votes to, say, alternative  $a$ . By Lemma

2, the SCF in this unanimous profile should not change from  $d$ , but this violates Unanimity. Hence, the outcome of the SCF for any profile in  $\mathcal{P}(\lambda)$  must be one of the three alternatives  $a, b, c$ .

Step 2: There is a number  $v_a^*(\lambda)$  such that for any  $P \in \mathcal{P}(\lambda)$ ,  $f(P) = a$  if and only if  $v(a, P) \ge v_a^*(\lambda)$ . A number  $v_c^*(\lambda)$  also exists for alternative c. This is just an application of Lemma 3, as we assume that b and c share votes under a, and b and a share votes under c.

Step 3: Assuming that a and c share votes under b implies a contradiction. If a and c share votes under b, we have that  $v_a^*(\lambda) + v_c^*(\lambda) = n + 1$ . To see this, consider the case where  $v_a^*(\lambda) + v_c^*(\lambda) < n + 1$ . Then for the profile  $P^*$ where  $v(a, P^*) \geq v_a^*(\lambda), v(c, P^*) \geq v_c^*(\lambda)$  and  $v(d, P^*) = 0, \forall d \neq a, c$ , we have that the SCF must select both  $a$  and  $c$ , which is a contradiction. For the case where  $v_a^*(\lambda) + v_c^*(\lambda) > n + 1$ , we can construct a profile  $P^*$  in  $\mathcal{P}(\lambda)$  such that  $v(a, P^*) = v_a^*(\lambda) - 1$ ,  $v(c, P^*) = n - v(a, P^*)$  and the SCF cannot select either a or  $c$ , so it must select  $b$  as the outcome. However, this together with the assumption that a and c share votes under b allows us to transfer all the votes from c to a by using Lemma 2, which will imply by Unanimity that the outcome of the SCF is also a as well as b, another contradiction. So we must have  $v_a^*(\lambda) + v_c^*(\lambda) = n + 1$ .

Then consider profile  $P \in \mathcal{P}(\lambda)$  where  $v(a, P) = v_a^*(\lambda) - 1$ ,  $v(c, P) = v_c^*(\lambda) - 1$ and  $v(b, P) = 1$ . By Step 2, the outcome of the SCF at P cannot be either a or c. By Step 1, it also cannot be any other alternative outside  $a, b, c$ , and hence, we must have  $f(P) = b$ . Without loss of generality, assume that  $v_a^*(\lambda) \geq v_c^*(\lambda)$ (so that  $v(a, P) \ge 1$ ). If a and c share votes under b, then we can transfer one vote from a to c without changing the outcome of the SCF. Call this new profile P'. So by Lemma 2 it must be the case that  $f(P')$  is still equal to b. However,  $v(c, P') = v_c^*(\lambda)$ , which by Step 2 also implies that  $f(P') = c$ . Hence, we cannot have that a and c can share votes under b.  $\star$ 

**Lemma 5:** Assume that  $D$  is a MD-Connected domain with Connectivity Graph G and there is a set of alternatives  $\{a_j\}_{j=1}^k$  such that they form a path in the Connectivity Graph  $\langle a_1, a_k \rangle$  Then the following two properties hold:

- 1.  $a_1$  and  $a_k$  share votes under any alternatives that are not a part of the path  $\langle a_1, a_k \rangle$ .
- 2. For any j,  $1 < j < k$ , we have that alternatives  $a_{j-1}$  and  $a_{j+1}$  cannot share votes under  $a_j$ .

**Proof:** We start with the first statement. It comes immediately from the definitions of MD-Connectedness and vote sharing that if two alternatives are MDconnected, they share votes under every other alternative. Thus, if alternative b is not a part of path  $\langle a_1, a_k \rangle$ , each alternative in this path shares votes with the alternatives that are adjacent to it under b. If we append each of the sequences implied by this fact, we can form two sequences, one that transfers votes from  $a_1$ to  $a_k$  under b and another that transfers votes  $a_k$  to  $a_1$  under b, thus showing that the two alternatives share votes under b.

For the second part, just use the result above to check the conditions for Lemma 4. Under any alternative other than  $a_{j-1}, a_j$  or  $a_{j+1}$ , the three alternatives share votes, as we saw above. Under  $a_{j+1}$ ,  $a_j$  and  $a_{j-1}$  share votes (just take a sub segment of the original path that does not include  $a_{i+1}$ ). The same happens for  $a_i$ and  $a_{j+1}$  under  $a_{j-1}$ . Then, by Lemma 4, the other two alternatives cannot share votes under  $a_j$ .  $\star$ 

**Lemma 6:** There can be no cycles in the Connectivity Graph of a domain that admits a Well Behaved Social Choice Function satisfying MM.

**Proof:** Assume that there is a cycle between the alternatives in the set  $\{a_j\}_{j=1}^k$ . Since they form a cycle, between any two alternatives in the set, there are two entirely distinct paths connecting them, such that there are no nodes in common between these two paths, other than the starting and ending nodes. Hence, for any two alternatives in the set, and a third distinct alternative, it is possible to find a path between the first two that does not contain the third. Then, we can take any three arbitrary alternatives, say  $a_1, a_2$  and  $a_3$  and by the first statement of Lemma 5 we will have that  $a_2$  share votes with  $a_3$  under  $a_1$ ,  $a_2$  share votes with  $a_1$  under  $a_3$  and that  $a_1$  and  $a_3$  share votes under  $a_2$ . This then creates a contradiction, according to Lemma 4.  $\star$ 

**Definition:** Given a Domain D that is MD-Connected and admits a Well Behaved SCF satisfying MM (and hence, whose Connectivity Graph is a tree) and a triple of adjacent nodes  $(a, b, c)$  with  $a, c \in \alpha(b)$ ,  $a \neq c$  we will say that the pair  $(b, c)$  is a **breach** if c transfer votes to a under b. Additionally, whenever we refer to the span of a breach  $(b, c)$ , we are referring to the span  $\xi(b, c)$ .

Finally, we will employ the following notation in the arguments below:  $P(a_1 =$  $v_1, a_2 = v_2, \ldots, a_k = v_k$  describes the profile where alternatives in the set  $\{a_j\}_{j=1}^k$ get votes equal to  $\{v_j\}_{j=1}^k$ , with  $\sum_{j=1}^k v_j = n$  (which implies that any alternative outside of  $\{a_j\}_{j=1}^k$  gets zero votes). In other words,  $v(a_j, P(a_1 = v_1, a_2 =$  $(v_2, \ldots, a_k = v_k)) = v_j.$ 

**Lemma 7** Let  $\delta = \langle a_1, a_m \rangle$  be a maximal path in G, and  $(a_j, a_{j+1}) \in \delta$  be a breach such that  $a_1 \in \xi(a_j, a_{j+1})$ . Then  $f(P(a_1 = n-1, a_{j+1} = 1)) = a_{j+1}$ . Moreover, if  $a_i \in \xi(a_j, a_{j+1}) \cap \delta$ , we have that  $f(P(a_1 = n-1, a_i = 1)) = a_i$ .

**Proof:** Let  $\hat{a} \in \alpha(a_j)$  be the node such that  $a_{j+1}$  transfers votes to  $\hat{a}$  under  $a_j$ , implied by the definition of a breach. Importantly, we do not assume that  $\hat{a}$  belongs to  $\delta$ . Start with  $\lambda = \{\hat{a}, a_j, a_{j+1}\}\$  and  $\mathcal{P}(\lambda)$  as defined previously. We now proceed in a series of steps.

Step 1: for any P in  $\mathcal{P}(\lambda)$ ,  $f(P) \in \lambda$ . This is similar to Step 1 of Lemma 4. If  $f(P) = d \notin \lambda$ , by the first statement of Lemma 5  $\hat{a}$ ,  $a_j$  and  $a_{j+1}$  all share votes under d and since  $P \in \mathcal{P}(\lambda)$  we can use Lemma 3 to imply that  $f(P(a_j = n)) = d$ , which is a contradiction with the Unanimity assumption of  $f$ .

Now, apply Lemma 3 to alternative  $\hat{a}$  and to alternative  $a_{j+1}$ , defining the numbers  $v_a^*(\lambda) = v_1$  and  $v_{a_{j+1}}^*(\lambda) = v_2$ .

Step 2: Show that  $v_1 + v_2 = n + 1$ . This is also similar to Step 3 of Lemma 4. If  $v_1 + v_2 < n + 1$ , the SCF must have two outcomes at profile  $P(\hat{a} = v_1, a_{i+1})$  $n - v_1$ , a contradiction. If, on the other hand  $v_1 + v_2 > n + 1$ , we will have that  $f(P(\hat{a}=v_1-1, a_{i+1}=n+1-v_1))=a_i$  (this comes from Step 1 and  $v_2 > n+1-v_1$ ) but then we can use the assumption that  $(a_j, a_{j+1})$  is a breach and apply Lemma 2 to have that  $f(P(\hat{a}=n)) = a_j$ , another contradiction. Hence, we must have that  $v_1 + v_2 = n + 1.$ 

Step 3: Show that  $v_2 = 1$ . First notice that by the definition of  $v_2$  from Lemma 3, it is impossible to have  $v_2 = 0$ , as this would imply a violation of Unanimity. Then, as  $v_2 > 0$ , we have that  $f(P(\hat{a} = v_1 - 1, a_j = 1, a_{j+1} = v_2 - 1)) = a_j$ . From here we can use the assumption that  $(a_j, a_{j+1})$  is a breach to apply Lemma 2 and get that  $f(P(\hat{a} = n-1, a_j = 1)) = a_j$ , which then implies that  $v_1 = n$ , and in turn, that  $v_2 = 1$ , as we claimed. Note that this implies  $f(P(a_i = n - 1, a_{i+1} = 1)) = a_{i+1}$ .

Step 4: Show that  $f(P(a_1 = n - 1, a_{j+1} = 1)) = a_{j+1}$ . As  $a_1 \in \xi(a_j, a_{j+1}) \Rightarrow$  $a_{i+1} \notin \langle a_1, a_i \rangle$ , we can apply Lemma 5 to have that  $a_1$  and  $a_i$  share votes under  $a_{i+1}$ . Then we can apply Lemma 2 to have that  $f(P(a_j = n - 1, a_{j+1} = 1))$  $a_{j+1} \Rightarrow f(P(a_1 = n-1, a_{j+1} = 1)) = a_{j+1}$ 

Step 5: Show that for all  $a_i \in \xi(a_j, a_{j+1}) \cap \delta$ ,  $f(P(a_1 = n-1, a_i = 1)) = a_i$ . Assume that  $f(P(a_1 = n - 1, a_i = 1)) = x$ . By the same reasoning of Step 1, we must have that  $x \in \langle a_1, a_i \rangle$ , as if it is not, we can apply the first statement of Lemma 5 and Lemma 2 to get a contradiction at  $f(P(a_1 = n)) = x$ . Then,

assuming that  $x \neq a_i$ , we have that  $x \notin \langle a_i, a_{j+1} \rangle$ , which allow us to use Lemma 5 once more to have that  $a_i$  and  $a_{j+1}$  share votes under x and then Lemma 2 to have that  $f(P(a_1 = n-1, a_{j+1} = 1)) = x$ , which contradicts the earlier result that  $f(P(a_1 = n-1, a_{j+1} = 1)) = a_{j+1}$ . Therefore,  $f(P(a_1 = n-1, a_i = 1)) = a_i$ . This proves the statement.  $\star$ 

Lemma 8 at first has a somewhat abstract formulation, but its implication is easily understood via drawings. It says that if we take all breaches  $(y, z)$  and for each breach we draw an arrow, starting the arrow at the last component of the ordered pair,  $z$ , and placing the arrowhead at the first component,  $y$ , then there will be no two arrows pointing at one another. In the language of the Lemma,  $(a_i, a_{i-1})$ , in this order, could not be a breach because the arrow drawn in this fashion would point in the opposite direction from the one drawn at breach  $(a_j, a_{j+1})$ . Hence, in a sense, all breaches must "point" away from a common origin point, rather than go against one another. This common origin point will be formally proved in Lemma 12.

**Lemma 8:** Let  $\delta = \langle a_1, a_m \rangle$  be a maximal path in G,  $(a_j, a_{j+1})$  be a breach with  $a_j, a_{j+1} \in \delta$  and  $(a_i, a_{i-1})$  be a pair of adjacent nodes with  $a_{i-1}, a_i \in \delta \cap \xi(a_j, a_{j+1})$ . If  $a_{j+1} \in \xi(a_i, a_{i-1})$ , then  $(a_i, a_{i-1})$  cannot be a breach.

**Proof:** Assume it is not the case. Let  $(a_i, a_{i-1})$  be a breach and  $a_{j+1} \in \xi(a_i, a_{i-1})$ . Assume without loss of generality that  $a_1 \in \xi(a_j, a_{j+1})$ , which implies  $a_m \notin$  $\xi(a_j, a_{j+1})$ , since  $a_j, a_{j+1} \in \delta$ . Then  $a_{i-1}, a_i \in \delta \cap \xi(a_j, a_{j+1})$  implies that  $a_{i-1}, a_i \in$  $\langle a_1, a_{j+1} \rangle$ . Finally,  $a_{i-1}, a_i \in \langle a_1, a_{j+1} \rangle$  together with  $a_{j+1} \in \xi(a_i, a_{i-1})$  implies that  $a_m \in \xi(a_i, a_{i-1})$ . So, we have a clear picture of the placement of the nodes on the path  $\delta: a_1, ..., a_{i-1}, a_i, ..., a_j, a_j+1, ..., a_m$ .

By the first part of Lemma 7, we have that  $f(P(a_1 = n-1, a_{j+1} = 1)) = a_{j+1}$ . By the first part of Lemma 5,  $a_1$  share votes under  $a_{j+1}$  with any alternative in  $\langle a_1, a_j \rangle$  hence we can use Lemma 2 to have  $f(P(a_i = n-1, a_{j+1} = 1)) = a_{j+1}$ . By Fact 1, we have that  $(a_i, a_{j+1}) \notin C_f^{a_{j+1}}$  $f_f^{a_{j+1}}$  and by Lemma 1, we have that  $f(P(a_i =$  $(1, a_{j+1} = n-1)$  =  $a_{j+1}$ . Applying Lemma 7 for the breach  $(a_i, a_{i-1})$ , we have that  $f(P(a_m = n-1, a_i = 1)) = a_i$ . As  $a_i \notin \langle a_{j+1}, a_m \rangle$ , by the first part of Lemma 5  $a_m$  and  $a_{j+1}$  share votes under  $a_i$  and hence by Lemma 2 we have that  $f(P(a_{j+1} = n-1, a_i = 1)) = a_i$ , which then contradicts our earlier conclusion that  $f(P(a_i = 1, a_{j+1} = n-1)) = a_{j+1}$ . Hence,  $(a_i, a_{i-1})$  cannot be a breach  $\star$ .

**Lemma 9:** Let  $(b, c)$  be a breach. Then, if there is a node d such that  $(c, d)$  is also a breach, we must have  $d \neq b$ .

Proof: This proof follows an argument very similar to the one of Lemma 8. Assume it is not the case, that is, both  $(b, c)$  and  $(c, b)$  are breaches. Let  $a_1, a_m$ be a pair of extreme nodes, with  $a_1 \in \xi(b,c)$  and  $a_m \in \xi(c,b)$ . By Lemma 7 on the breach  $(b, c)$ , we must have  $f(P(a_1 = n - 1, c = 1)) = c$ . Applying Lemmas 5 and 2, we have  $f(P(b = n - 1, c = 1)) = c$ . Applying Fact 1 and Lemma 1, we further have  $f(P(b = 1, c = n - 1)) = c$ . Now apply Lemma 7 once more, using breach  $(c, b)$  this time to have  $f(P(a_m = n - 1, b = 1)) = b$ . We can once more use Lemmas 5 and 2 to get  $f(P(c = n - 1, b = 1)) = b$ , which contradicts our earlier conclusion. Thus, we cannot have  $(b, c)$  and  $(c, b)$  to be both breaches.  $\star$ 

**Lemma 10:** Let  $(b, c)$  and  $(y, z)$  be breaches in G. Then,

1.  $y \in \langle c, z \rangle \Rightarrow b \notin \langle c, z \rangle$ 2.  $\xi(b, c) \subset \xi(y, z) \Leftrightarrow c \in \xi(y, z)$ . 3.  $\xi(b, c) \subset \xi(y, z) \Rightarrow z \notin \xi(b, c)$ 

**Proof:** We start by proving the first statement.

Assume that  $b, y \in \langle c, z \rangle$ , but  $\langle b, y \rangle \neq \langle c, z \rangle$  (which must be true, since by Lemma 9 we cannot have  $(b, c) = (z, y)$ . Then we can make a maximal path that includes b, c, y and z by taking any extreme nodes  $p \in \xi(c, b)$  and  $q \in$  $\xi(z, y)$ . Hence, the path  $\langle p, q \rangle$  will contain these nodes in the following order:  $p,(\ldots), c, b,(\ldots), y, z,(\ldots), q$ . Moreover, we then have that  $b, c \in \xi(y, z)$  and  $y, z \in$  $\xi(b, c)$ , which, by Lemma 8, implies that either  $(b, c)$  or  $(y, z)$  is not a breach, a contradiction to the assumption that both are breaches.

Now we move to the second statement.

First, we have that  $\langle c, z \rangle \subset \langle b, z \rangle \cup \{c\}$ , as  $c \in \alpha(b)$ . Thus,  $y \in \langle c, z \rangle \Leftrightarrow y \in \mathcal{C}$  $\langle b, z \rangle$ . Then,  $c \in \xi(y, z) \Leftrightarrow b \in \xi(y, z)$ . As  $b \in \xi(b, c)$ , we have that  $\xi(b, c) \subset$  $\xi(y, z) \Rightarrow b \in \xi(y, z) \Rightarrow c \in \xi(y, z)$ . This proves the first part.

For the second part, by the first statement already proven,  $c \in \xi(y, z) \Rightarrow y \in$  $\langle c, z \rangle \Rightarrow b \notin \langle c, z \rangle \Rightarrow z \notin \xi(b, c)$ , and, as y is adjacent to z, we also have that  $y \notin \xi(b, c)$ . Hence, we have that for any  $a \in \xi(b, c)$ , we must have  $x, y \notin \langle a, c \rangle$ . Moreover, we must have  $b \in \langle a, z \rangle$ , as  $b \notin \langle a, z \rangle \Rightarrow b \notin \langle a, c \rangle \subset \langle a, z \rangle \cup \langle z, c \rangle \Rightarrow$  $a \notin \xi(b, c)$ . But then, since c is adjacent to b, the path  $\langle a, z \rangle$  must also contain c and thus can be split into  $\langle a, c \rangle$  and  $\langle c, z \rangle$ . Since  $y \in \langle c, z \rangle$ , we have that  $y \in \langle a, z \rangle \Rightarrow a \in \xi(y, z)$ .

Now, for the third statement, by the second part of this Lemma we have  $\xi(b,c) \subset \xi(y,z) \Rightarrow c \in \xi(y,z) \Rightarrow y \in \langle c,z \rangle$ . Then, by the first part of this Lemma,  $y \in \langle c, z \rangle \Rightarrow b \notin \langle c, z \rangle \Rightarrow z \notin \xi(b, c)$ .  $\star$ .

**Lemma 11:** Let  $(b, c), (j, k), (y, z)$  be breaches on G, with  $c \in \xi(j, k)$  and  $k \in$  $\xi(y, z)$ . Then  $c \in \xi(y, z)$ .

**Proof:** By the second statement of Lemma 10,  $k \in \xi(y, z) \Rightarrow \xi(j, k) \subset \xi(y, z) \Rightarrow$  $c \in \xi(y, z)$ . \*.

We prove the next Lemma by showing that any sequence of breaches  $\{(b_k, c_k)\}_{k=0}^T$ in G such that for every  $k > 0$  we have that  $c_{k-1}$  is in the span of  $(b_k, c_k)$  must have a finite  $T < \infty$ . As every of such sequences is finite, there is a last element that is not in the span of any other breach. Indeed, by Lemmas 10 and 11, we have a semblance of transitivity among the spans of breaches that allow us to make such claim when the number of alternatives is finite.

**Lemma 12:** For any subtree  $G(B)$  containing at least one breach there is a breach  $(y, z) \in G(B)$  such that y is not in the span of any other breach in  $G(B)$ .

**Proof:** Let  $\{(b_k, c_k)\}_{k=0}^T$  be a sequence of breaches in G such that for every  $k > 0$ we have that  $\xi(b_{k-1}, c_{k-1}) \subset \xi((b_k, c_k))$ . Start with  $\xi(b_0, c_0)^C$ . As the set of nodes of  $G$  is equal to the set of alternatives,  $A$ , which is finite, any subtree of  $G$  will also have finite nodes, and thus,  $\xi(b_0, c_0)^C$  must also be a finite set. Next, we have that for any  $k > 0$  by the third statement of Lemma 10,  $c_k \in \xi(b_{k-1}, c_{k-1})^C$ , and by the second statement of that Lemma,  $c_{k-1} \in \xi(b_k, c_k)$ . Recall that for any span  $\xi(b_{k-1}, c_{k-1})$ , we have  $c_{k-1} \notin \xi(b_{k-1}, c_{k-1})$ , so  $\xi(b_k, c_k) \not\subset \xi(b_{k-1}, c_{k-1})$ . That, together with Lemma 11, which allow us to state that  $\xi(b_0, c_0) \subset \xi(b_1, c_1) \subset$ ... $\xi(b_{k-1}, c_{k-1}) \subset \xi(b_k, c_k)$  let us conclude that the sets  $\xi(b_k, c_k)$  are expanding as k increases, incorporating at least one new element in each new set. Conversely, the sets  $\xi(b_k, c_k)^C$  are shrinking, becoming smaller and smaller with each interaction. As they are all subsets of a finite set, the process itself is finite, meaning that for some k, there is no  $c_{k+1} \in \xi(b_k, c_k)^C$ . Then any sequence must be finite, with  $T < m < \infty$ . Let the last element of the largest of such sequences be a breach  $(y, z)$  such that z is not in the span of any other breach in this largest sequence. The last step of our analysis is to argue that z cannot be in the span of any other breach. Indeed, as we assumed that  $(y, z)$  is the last element of the largest of such sequences, if  $z$  were to be in the span of any other breach, then by the second statement of Lemma 10 we would have that the span of  $(y, z)$  is also contained in the span of this new breach, which contradicts the assumption that  $(y, z)$  was the last element of the largest sequence. Hence, z must not be in the span of any other breach.  $\star$ .

**Corollary I:** For any maximal path  $\delta \in G$  containing at least one breach there is a breach  $(q, r) \in \delta$  such that r is not in the span of any other breach in  $\delta$ . This is achieved by setting  $G(B) = \delta$ . Moreover, if G has any breaches, there is a breach  $(y, z) \in G$  such that z is not in the span of any other breach in G. This is achieved by setting  $G(B) = G$ .

In light of Lemma 12, we introduce now a new definition. We say that a node t on graph G is a **threshold node** if there are no breaches  $(b, c) \in G$  such that  $t \in \xi(b,c).$ 

**Lemma 13** For any  $x \in G$  and  $y \in \alpha(x)$ ,  $\xi(x, y)^C = \xi(y, x)$ .

**Proof:** First, we show that  $\xi(y, x) \cap \xi(x, y) = \emptyset$ . Without loss of generality, start with a node  $a \in \xi(x, y)$ . Then,  $a \in \xi(x, y) \Rightarrow x \in \langle a, y \rangle \Rightarrow y \notin \langle a, x \rangle \Rightarrow a \notin \xi(y, x)$ . The argument is symmetrical for the case where  $a \in \xi(y, x)$ .

Now, we show that  $\xi(x, y) \cup \xi(y, x) = G$ . First, because  $x \in \alpha(y)$ , for any node a we have  $\langle a, x \rangle \subset \langle a, y \rangle \cup \{x\}$ . Without loss of generality, start with  $a \notin \xi(x, y)$ . Then  $x \notin \langle a, y \rangle$ , which, by the above argument, implies  $\langle a, x \rangle = \langle a, y \rangle \cup \{x\} \Rightarrow y \in \mathbb{R}$  $\langle a, x \rangle \Rightarrow a \in \mathcal{E}(y, x)$ . Thus,  $a \notin \mathcal{E}(x, y) \Rightarrow a \in \mathcal{E}(y, x)$ . A symmetrical argument shows that  $a \notin \xi(y, x) \Rightarrow a \in \xi(x, y)$ , which establishes  $\xi(x, y) \cup \xi(y, x) = G$ , completing the proof.  $\star$ 

**Lemma 14:** Let  $b \in G$  be an arbitrary, non-extreme node on G with a and c being two distinct nodes adjacent to b. If there are alternatives  $x \in \xi(a, b)$  and  $y \in \xi(c, b)$  such that y transfers votes to x under b, then  $(b, c)$  is a breach.

**Proof:** We start first by proving the following claim: any two nodes  $p, q$  such that either  $p, q \in \xi(a, b)$  or  $p, q \in \xi(c, b)$  then p and q share votes under b. This can be verified as follows: without loss of generality, assume that they are both in  $\xi(a, b)$ . Then from the definition of  $p \in \xi(a, b)$  we have  $a \in \langle p, b \rangle \Rightarrow b \notin \langle p, a \rangle$  and similarly  $b \notin \langle q, a \rangle$ . Hence,  $b \notin \langle p, q \rangle \subset \langle p, a \rangle \cup \langle a, q \rangle$ . Then, by the first part of Lemma 5,  $p$  and  $q$  share votes.

We now assume that y transfer votes to x. By the above proposition, we have that, under b, a and x share votes, as do c and y. By the definitions of vote sharing and vote transference, we have that there are three sequences  $\{f_j\}_{j=1}^p$ ,  $\{g_j\}_{j=0}^q$  and  ${h_j}_{j=0}^r$  such that  $f_1 = c$ ,  $f_p = g_0 = y$ ,  $g_q = h_0 = x$  and  $h_r = a$  and for any two sucessive members in either sequence we have  $(f_j, f_{j+1}), (g_j, g_{j+1}), (h_j, h_{j+1}) \in M_D^b$ . We can then construct a single sequence  $\{w_j\}_{j=1}^{p+q+r}$  such that, for  $j \in [1, p]$ ,  $w_j = \tilde{f}_j$ , for  $j \in [0, q]$ ,  $w_{p+j} = g_j$  and finally, for  $j \in [0, r]$ ,  $h_{p+q+j} = z_j$ . This last sequence reads as  $\{c, f_1, (\ldots), y, g_1, (\ldots), x, h_1, (\ldots), a\}$ . Then, there is a sequence starting from

c and ending at a such that for any two sucessive members of this sequence we have  $(w_j, w_{j+1}) \in M_D^b$ . It follows that c transfers votes to a under b and hence  $(b, c)$  is a breach.  $\star$ 

**Lemma 15:** Let b be an arbitrary node in G with two distinct adjacent nodes, a, c. Let  $x \in \xi(a, b)$  and  $y \in \xi(c, b)$  and t be a threshold for G. If  $t \notin \xi(c, b)$ , then  $(y, x) \notin M_D^b$ 

**Proof:** Assume  $t \notin \xi(c, b)$  and  $(y, x) \in M_D^b$ . By Lemma 14,  $(y, x) \in M_D^b$  implies that  $(b, c)$  is a breach. And by Lemma 13,  $t \notin \xi(c, b)$  implies  $t \in \xi(b, c)$ . But that contradicts the assumption that t is a threshold.  $\star$ 

This concludes the necessity part of the proof. The next Lemma deals with the sufficiency part.

**Lemma 16:** It is always possible to define a Well-Behaved SCF satisfying MM in a domain that satisfies the Minimum Reversals Condition.

Proof: To show the desired result, we will first construct a particular Social Choice Function. Then, we will argue that this SCF satisfies MM. We will use the expression of MM outlined in section A.1 of the appendix to verify if MM holds for that SCF. Thus, we need to only find the pivotal changes of this SCF for each alternative (that is, the pairs in the set  $C_f^a$  for each  $a \in A$ ) and verify if that pair is also in the set  $M_D^a$ . If the intersection of the two sets is empty, we know that MM holds. To verify that this intersection is always empty, we will invoke the Minimal Reversals Condition to show that whenever we have  $(b, c) \in C_f^a$  this implies  $(b, c) \notin M_D^a$  as well.

First let  $(G, t)$  denote an admissible pair for which the domain satisfies the Minimal Reversals Condition. For any profile  $P \in D<sup>n</sup>$ , let  $\{r_1(P)\}$  denote the set of all first ranked alternatives in the profile P, i.e.  $\{r_1(P)\} = \{a_i \in A|r_1(P_i)$  for some  $i \in N$ , and  $G({r_1(P)})$  the subgraph containing this set. Then, we can define the SCF  $f: D^n \to A$  as follows:

$$
f(P) = \beta_t(G(\{r_1(P)\}))
$$

This is the same SCF used in Chatterji, Sanver and Sen (2013) [\[7\]](#page-31-2) and it follows from the construction of  $f$  that it is anonymous, unanimous and tops-only. We will now show that  $f$  also satisfies MM. We proceed now by analyzing in what ways the outcome of f can be changed by a single voter, and showing that in all the cases that the outcome of f can be changed, the changes don't violate MM. Before going in depth about this changes, we would like to bring to attention the fact that the only way this SCF can change its outcome at any given profile is if a voter changes its vote for some other alternative that lies on an opposite side of the outcome, akin to a median rule (this SCF is indeed identical to generalized median rule). As we will see, this allows us to invoke the Minimal Reversals Condition, which also deals with changes that involve pairs of alternatives lying at different sides of a focal alternative in the connectivity graph. We now explore these ideas in a more formal way.

Fix a profile P. Assume first that  $f(P) = t$ . Then we have one of two scenarios. Either P has some voters voting for t, or there are voters  $i, j$  such that  $t \in \langle r_1(P_i), r_1(P_j) \rangle$ . Assume the first case holds. As  $f(P') = t$  for any P' where  $v(t, P') > 0$ , we have that the pivotal changes associated with this profile are of the kind  $(t, a)$ , for some other  $a \in A$ , that is, changes where one voter changes his vote from t to some other alternative. These kinds of change never violate MM.

Assume now that the second case holds. We want to look at the pivotal changes involving player  $i$ , as defined above (since the choice of which is player  $i$  on that pair is arbitrary, this is without loss of generality). The only pivotal changes possible are those such that  $t \notin \langle r_1(P'_i), r_1(P_j) \rangle$ . Call now a, c the adjacent nodes of t such that  $r_1(P'_i), r_1(P_j) \in \xi(a, t)$  and  $r_1(P_i) \in \xi(c, t)$ . These nodes always exist, as we can simply take  $r_1(P_i)$  and  $r_1(P_j)$  (or  $r_1(P'_i)$ ) if they happen to be adjacent to t. Moreover, they are necessarily distinct, since  $t \in \langle r_1(P_i), r_1(P_i) \rangle$ . We can check easily from the definition of the span of a node that  $t \notin \xi(c, t)$ . Thus, by the Minimal Reversals Condition<sup>[10](#page-48-0)</sup>,  $(r_1(P_i), r_1(P'_i)) \notin M_D^t$  and it follows that MM is not violated for any of these pivotal changes. This case is depicted by Figure 9. Node t plays the role of threshold, node i plays the role of both  $r_1(P_i)$  and c, node a plays the role of a, node i' plays the role of  $r_1(P'_i)$ , and node j plays the role of  $r_1(P_i)$ . If we have only two players, then function f functions exactly as a median rule, taking the median (on a tree) between  $t, r_1(P_i)$  and  $r_1(P_j)$ .

Now assume that  $f(P) \neq t$ , which then implies  $f(P) = \beta_t(G({r_1(P)}))$ . Pivotal changes including individuals such that  $r_1(P_i) = f(P)$  are ignored since these changes never violate MM. Thus, we will consider pivotal changes where an individual *i* with  $r_1(P_i) \neq f(P)$  changes its vote from  $r_1(P_i)$  to some other  $r_1(P'_i)$ . In particular, as  $r_1(P_i) \neq f(P)$ , we can find a node c adjacent to  $f(P)$  such that  $r_1(P_i) \in \xi(c, f(P)).$  We depict one of such scenario in Figure 10. There, node t plays again the role of threshold, B is the outcome of the SCF,  $f(P)$ , nodes i, i' and j play the role of  $r_1(P_i), r_1(P_i')$  and  $r_1(P_j)$  (for some j), respectively, and finally nodes a and c will play the roles of alternatives a and c, mentioned below

<span id="page-48-0"></span><sup>&</sup>lt;sup>10</sup>We have here that node t is playing the role of b as well, and that  $(r_1(P_i), r_1(P'_i))$  play the role of  $(y, x)$ , with nodes a and c playing the exact same roles as in the statement of the Condition.



Figure 9: An example for Lemma 16



Figure 10: Another example for Lemma 16

and used for the Minimal Reversals Condition. The nodes in blue represent the set  $\xi(c, f(P))$ . Once more, if we have only two players, then function f functions exactly as a median rule, taking the median (on a tree) between  $t, r_1(P_i)$  and  $r_1(P_i)$ .

We now make two claims:

Claim 1:  $t \notin \mathcal{E}(c, f(P))$ .

To see this, assume, by the way of contradiction, that both  $t, r_1(P_i) \in \xi(c, f(P))$ . Then we have that  $f(P) \notin \langle c, t \rangle$  <sup>[11](#page-49-0)</sup> as well as  $f(P) \notin \langle c, r_1(P_i) \rangle$  (by the same reasoning). We can then use both of these results to conclude that  $f(P) \notin \langle t, r_1(P_i) \rangle$ <sup>[12](#page-49-1)</sup>. It follows then that  $f(P) \neq \beta_t(G({r_1(P)}))$ , as by definition, the projection of t must be a part of the path from every node of  $G({r_1(P)})$  to t. This is a contradiction, so  $t \notin \xi(c, f(P))$  must be true.

Claim 2: if  $f(P'_i, P_{-i}) \neq f(P)$  then  $r_1(P'_i) \notin \xi(c, f(P))$ .

This can be verified easily from a diagram or from the knowledge that  $f$  mim-

<span id="page-49-0"></span> $11_t \in \xi(c, f(P))$  implies that c is in the middle of the path between t and  $f(P)$ , which further implies that  $f(P)$  is outside the path between t and c.

<span id="page-49-1"></span><sup>&</sup>lt;sup>12</sup>This follows from the fact that  $\langle t, r_1(P_i) \rangle \subset \langle t, c \rangle \cup \langle c, r_1(P_i) \rangle$ .

ics a general median rule on a tree, but we can also formally check it in two steps. The first step is to show that if  $f(P'_i, P_{-i}) \neq f(P)$ , then  $f(P) \notin \langle r_1(P'_i), t \rangle$ . This comes from the fact that both  $f(P) = \beta_t(G(\lbrace r_1(P) \rbrace))$  and  $f(P'_i, P_{-i}) =$  $\beta_t(G(\{r_1(P'_i, P_{-i})\}))$ . Thus, if  $f(P'_i, P_{-i}) \neq f(P)$ , the two projections must be different. As  $f(P) = \beta_t(G(\{r_1(P)\}))$  implies already that  $f(P) \in \langle x, t \rangle$  for every  $x \in \{r_1(P_{-i})\}$  and the only difference between  $\{r_1(P'_i, P_{-i})\}$  and  $\{r_1(P)\}$  is  $r_1(P'_i)$ , we must have  $f(P) \notin \langle r_1(P'_i), t \rangle$ , else we would have  $\beta_t(G(\lbrace r_1(P) \rbrace))$  =  $\beta_t(G(\{r_1(P'_i, P_{-i})\})).$ 

The second step is to show that if  $r_1(P'_i) \in \xi(c, f(P))$  then  $f(P) \in \langle r_1(P'_i), t \rangle$ . This can be verified from  $[t \notin \xi(c, f(P)) \land r_1(P'_i) \in \xi(c, f(P))] \Rightarrow \langle r_1(P'_i), t \rangle =$  $\langle r_1(P'_i), f(P) \rangle \cup \langle f(P), t \rangle \Rightarrow f(P) \in \langle r_1(P'_i), t \rangle$ . Together, these steps show that if  $f(P'_i, P_{-i}) \neq f(P)$  then  $r_1(P'_i) \notin \xi(c, f(P)).$ 

Thus, from the two claims above, we can invoke the Minimal Reversals Condi-tion<sup>[13](#page-50-0)</sup> and state that if  $f(P'_i, P_{-i}) \neq f(P)$ , then  $(r_1(P_i), r_1(P'_i)) \notin M_D^{f(P)}$  and thus MM is not violated . We conclude that MM holds for every change in the outcome of the SCF constructed.  $\star$ 

**Proof of Proposition 1** We separate this proof into two Lemmas. Lemma 17 establishes a relation between the projection of the threshold node and breaches containing it, while Lemma 18 completes the remaining steps of the proof.

**Lemma 17:** Let t be a threshold for G,  $\delta$  be a maximal path not containing t,  $(b, c) \in \delta$  a pair of adjacent nodes and  $\beta_t(\delta)$  the projection of t onto  $\delta$ . Then  $\beta_t(\delta) \in \xi(b,c) \Rightarrow t \in \xi(b,c).$ 

**Proof:** First, fix  $\delta = \langle p, q \rangle$  and without loss of generality, assume that  $p \notin \xi(b, c)$ , as well as  $\beta_t(\delta) \in \mathcal{E}(b, c)$ . Then by the definition of  $\mathcal{E}(b, c)$  we have that  $b \in \langle \beta_t(\delta), c \rangle$ and as b is adjacent to c and on the path  $\delta$ , we have that  $b, c \in \langle p, \beta_t(\delta) \rangle$  (which should read as  $p, (..., c, b, (...), \beta_t(\delta))$ . Next, consider the path  $\langle p, t \rangle$ . As  $p \in \delta$ and  $\beta_t(\delta)$  is the projection of t onto  $\delta$ , this implies that  $\beta_t(\delta) \in \langle p, t \rangle$ . But then  $\langle p, t \rangle = \langle p, \beta_t(\delta) \rangle \cup \langle \beta_t(\delta), t \rangle$  and thus  $b, c \in \langle p, t \rangle$ . Since  $p \notin \xi(b, c) \Rightarrow b \notin \langle p, c \rangle$ this implies  $b \in \langle c, t \rangle \Rightarrow t \in \xi(b, c)$ . \*.

By our Theorem, we have that there is an alternative  $t$  in  $A$  such that together with the Connectivity Graph  $G$  of the domain they form an admissible pair. Thus, all that remains is to prove the statement below:

<span id="page-50-0"></span><sup>&</sup>lt;sup>13</sup>To use the Condition here, node  $f(P)$  plays the role of b,  $(r_1(P_i), r_1(P'_i))$  play the role of  $(y, x)$ , c plays the same role as in the statement of the Condition, and the node adjacent to  $f(P)$ in the path  $\langle r_1(P'_i), f(P) \rangle$  plays the role of a.

Lemma 18: Let D be a Strong Path-connected domain admitting a Well Behaved SCF satisfying MM. Then, for all  $P_i \in D$  and for every path  $\delta$  such that  $r_1(P_i) \in \delta$ and  $\beta_t(\delta)$  is a threshold node of  $\delta$  we have:

- $[a_r, a_s \in \delta \text{ such that } a_r, a_s \in \langle r_1(P_i), \beta_t(\delta) \rangle \text{ and } a_r \in \langle r_1(P_i), a_s \rangle \Rightarrow [a_r P_i a_s].$
- $[a_r \in \delta, \beta_t(\delta) \approx a_r \text{ and } a_r \notin \langle r_1(P_i), \beta_t(\delta) \rangle] \Rightarrow [\beta_t(\delta)P_i a_r]$

Denote by  $a_1, a_m$  the extreme nodes of  $\delta$ , such that  $\delta = \langle a_1, a_m \rangle$ , as conventioned so far. We start now the proof of the first statement by assuming its conditions, that is,  $a_r, a_s \in \delta$  such that  $a_r, a_s \in \langle r_1(P_i), \beta_t(\delta) \rangle$  and  $a_r \in \langle r_1(P_i), a_s \rangle$ . Without loss of generality, assume that  $r_1(P_i) \in \langle a_1, \beta_t(\delta) \rangle$ . It can then be easily verified that the alternatives in  $\delta$  are arranged as follows:  $a_1, ..., r_1(P_i), ..., a_r, ..., a_s, ..., \beta_t(\delta), ..., a_m$ . Now, index the alternatives in  $\langle a_s, a_r \rangle$  as  $\{b_j\}_{j=0}^k$  with  $b_0 = a_s$ ,  $b_k = a_r$  and for every  $j < k$ ,  $b_{j+1}$  is adjacent to  $b_j$  and to its left, so  $\langle a_r, a_s \rangle = a_r, b_{k-1}, ..., b_1, a_s$ . We will now verify the conditions of Lemma 15, as follows: let  $b_1$  play the role of alternative b in Lemma 15,  $r_1(P_i)$  play the role of y, b<sub>2</sub> play the role of c and  $a_s$  play the role of a and x. We have that  $\beta_t(\delta) \in \xi(a_s, b_1)$ , which, by Lemma 17, implies that  $t \in \xi(a_s, b_1)$  and, by Lemma 13,  $t \notin \xi(b_1, a_s)$ . Thus, all the conditions for Lemma 15 are verified and we have  $(r_1(P_i), a_s) \notin M_D^{b_1}$ . Hence, there must be at least one alternative ranked below  $b_1$  in  $P_i$  that is not ranked below  $b_1$  in every preference that has  $a_s$  on top. However, since the domain is Strong Path-Connected and  $b_1 \approx a_s$ , we know that there is a preference with  $a_s$  on top where  $b_1$  is ranked second. Therefore, every other alternative except for  $a_s$  itself is ranked below  $b_1$  in this preference and thus, we must have  $b_1P_i a_s$ . Now move to  $b_2$ . Once more, we can invoke Lemmas 17,13 and 15 in an almost identical fashion to conclude that  $(r_1(P_i), b_1) \notin M_D^{b_2}$ , which, by the same argument, should imply that  $b_2P_ib_1$  and thus  $b_2P_ia_s$ . We can repeat these steps for every  $b_j$  in the sequence and conclude that  $a_rP_ia_s$ . The arguments for the case where  $r_1(P_i) \in \langle \beta_t(\delta), a_m \rangle$  are mirrored for the case presented. This completes the proof for the first property. The proof for the second property follows the same idea: by Lemmas 17, 13 and 15,  $(r_1(P_i), a_r) \notin M_D^{\beta_t(\delta)}$ , so there must be at least one alternative ranked below  $\beta_t(\delta)$  in  $P_i$  that is not ranked below  $\beta_t(\delta)$  in every preference that has  $a_r$  on top. By the argument above,  $\beta_t(\delta) \approx a_r$  implies that this alternative must be  $a_r$  and hence,  $\beta_t(\delta)P_i a_r$ , concluding the proof.  $\star$ 

**Proof of Proposition 2:** We start with the first part, that if a domain is Single-Peaked on the tree G, then it satisfies the Minimum Reversals Condition for all admissible pairs  $(G, t)$  that include G. As such, assume that we have an MD-Connected domain that is Single-Peaked, and G denotes its Connectivity Graph.

Take any three distinct alternatives  $x, y, b$  with the property that  $b \in \langle x, y \rangle$ , and let  $P_i^x$  denote an arbitrary preference with  $r_1(P_i^x) = x$  and  $P_i^y$  $i^y$  its equivalent for

an arbitrary preference with  $y$  on top. Then the property from Single-Peakedness implies that  $bP_i^xy$  and  $bP_i^yx$ . This, together with the fact that  $xP_i^xb$  and  $yP_i^yb$ implies that  $(x, y), (y, x) \notin M_D^b$ . Since  $x, y$  and b were picked arbitrarily, we have that for any choice of t, the domain will satisfy the Minimum Reversals Condition.

The second part of the statement comes from Proposition 1: if the domain is Strong Path-Connected with Connectivity Graph G and satisfies the Minimum Reversals Condition for a given admissible pair  $(G, t)$ , then the domain is a Weak Semi-Single-Peaked domain for that admissible pair. Thus, if the domain satisfies the Minimum Reversals Condition for every admissible pair  $(G, t)$ , this makes it a Weak Semi-Single-Peaked domain with respect to all these admissible pairs, which is the definition of a Single-Peaked domain on the graph  $G. \star$ 

Proof of Proposition 3: From Proposition 2, if a domain is Strong Path-Connected, satisfies the Minimum Reversals Condition of an admissible pair  $(G, t)$ and is not a Single-Peaked domain, then there must be at least one node  $z \neq t$ such that the domain does not satisfy the Minimum Reversals Condition for the admissible pair  $(G, z)$ . Given the definition of a threshold node, this must mean that there exists a breach  $(y, x)$  such that  $z \in \mathcal{E}(y, x)$ . Then, by Lemma 7, we can show that any Well Behaved and Monotonic SCF defined on that domain must violate No Veto Power. ⋆

**Proof of Proposition 4:** Let  $\mathcal{D}$  be an MD-Connected domain that satisfies the Minimum Reversals Condition and  $\tau_D \in A$  its associated set of eligible thresholds, with x, z being two distinct nodes such that  $x, z \in \tau_D$ . Assume now that we have a node y such that  $y \in \langle x, z \rangle$ , and, furthermore, assume that  $y \neq x, z$  (else the proof becomes trivial). Since G is a tree, we must have that, for any node  $c \in G$ , either  $\langle x, c \rangle = \langle x, y \rangle \cup \langle y, c \rangle$  or  $\langle z, c \rangle = \langle z, y \rangle \cup \langle y, c \rangle$ . Thus, any node  $b \in \langle y, c \rangle$  will also belong to either  $\langle x, c \rangle$  or  $\langle z, c \rangle$ . But since both  $x, z \in \tau_D$ , this means that there is no breach  $(b, c)$  such that  $b \in \langle x, c \rangle$  or  $b \in \langle z, c \rangle$ . Hence, for every breach  $(b, c)$ , we cannot have  $b \in \langle y, c \rangle$ . This means that y is also not in the span of any breach, and thus,  $y \in \tau_D$  as well.  $\star$ 

**Proof of Proposition 5:** We want to verify that the domain  $\mathcal{D} = \mathcal{D}^{SSP} \cup D^p \cup D^q$ satisfies the Minimal Reversals Condition. First, note that, since SSP domains have stronger restrictions on the preferences of the domain than Weak SSP domains, whenever we can say that  $aP_i b$  for all  $P_i$  in some  $\mathcal{D}^{WSSP} \in \mathbb{D}_{(G,t)}^{WSSP}$ , it will also be true that  $aP_i b$  for all  $P_i$  in  $\mathcal{D}^{SSP} \in \mathbb{D}_{(G,t)}^{SSP}$ , that is, all preferences of a SSP domain that has a matching admissible\* pair. Thus, if there is no pair  $P_i \in \mathcal{D}^{WSSP}, P'_i \in$  $\mathcal{D}^{SSP}$  such that  $P_i \mapsto_a P'_i$ , then there is no pair  $P'_i, P''_i \in \mathcal{D}^{SSP}$  such that  $P''_i \mapsto_a P'_i$ .

Assume now, by the way of contradiction, that  $D$  does not satisfy the Minimal Reversals Condition. Then there must be a pair of preferences  $P_i^x, P_i^y,$ with  $r_1(P_i^x) = x, r_1(P_i^y)$  $i^y$  = y and a triple of adjacent nodes  $a, b, c$  such that  $x \in \xi(a, b), y \in \xi(c, b), t \notin \xi(c, b)$  and  $P_i^y$  $P_i^y \mapsto_b P_i^x.$ 

Next, we claim that if  $P_i^y \in \mathcal{D}^{WSSP}$ , then  $P_i^x \in \mathcal{D}^{SSP}$ , as  $x \in \xi(a, b)$ ,  $y \in \xi(c, b)$ implies that  $x$  and  $y$  cannot be adjacent, and, by assumption,  $p$  and  $q$  are adjacent and if  $r_1(P_i^x) \neq p, q$ , then  $P_i^x \notin \mathcal{D}^{WSSP}$ . Similarly, if  $P_i^x \in \mathcal{D}^{WSSP}$ , then  $P_i^y \in$  $\mathcal{D}^{SSP}$ , by the same argument.

Another consequence of  $x \in \xi(a, b)$ ,  $y \in \xi(c, b)$  is that  $b \in \langle x, y \rangle$ . Let then  $\delta$  be a maximal path in G containing  $\langle x, y \rangle$ , and  $\beta_t(\delta)$  be the projection of the threshold on path  $\delta$ . There are exactly two possibilities for the relative disposition of nodes  $x, y, a, b, c, \beta_t(\delta)$  on the path  $\delta$ , as, per Lemma 17,  $t \notin \xi(c, b)$  implies  $\beta_t(\delta) \notin \xi(c, b)$ . The first is that we have  $\beta_t(\delta), \ldots, x, \ldots, a, b, c, \ldots, y$ , and the second is that we have  $x, ..., \beta_t(\delta), ..., a, b, c, ..., y.$ 

Assume first that we have  $\beta_t(\delta), ..., x, ..., a, b, c, ..., y$ , and, additionally, that  $P_i^y \in \mathcal{D}^{WSSP}$ , which, as shown above, implies that  $P_i^x \in \mathcal{D}^{SSP}$ . By the properties of Weak SSP domains, we have  $bP_i^y x$ , while by the definition of  $P_i^x$  we also have  $xP_i^x b$ . Thus, b does not maintain its position in this case. Now assume that  $P_i^x \in \mathcal{D}^{WSSP}$  and  $P_i^y \in \mathcal{D}^{SSP}$ . Since  $bP_i^y x$  held for  $P_i^y \in \mathcal{D}^{WSSP}$ , as discussed above, this should still hold for  $P_i^y \in \mathcal{D}^{SSP}$ . Similarly,  $x P_i^x b$  also holds in this scenario, and we can reach the same conclusion as before. Lastly, the case where both  $P_i^x, P_i^y \in \mathcal{D}^{SSP}$ , as discussed above, is handled by the first case. Thus, for all possible properties of  $P_i^x$  and  $P_i^y$  $i<sup>y</sup>$ , we have that alternative b does not maintain its position here.

Next, assume that we have now  $x, ..., \beta_t(\delta), ..., a, b, c, ..., y$ , and, additionally, that  $P_i^y \in \mathcal{D}^{WSSP}$ , which, once more, implies that  $P_i^x \in \mathcal{D}^{SSP}$ . By the properties of Weak SSP domains, we have that  $bP_i^y\beta_t(\delta)$ . Similarly, by the properties of SSP domains, we have that  $\beta_t(\delta) P_i^* b$ . So, b does not maintain its position in this scenario. As argued above, this conclusion also holds for the case where both  $P_i^x, P_i^y \in \mathcal{D}^{SSP}$ . Now, consider the last possible scenario, where  $P_i^x \in \mathcal{D}^{WSSP}$ . Then, by the properties of SSP domains,  $bP_i^y x$ , while by the definition of  $P_i^x$ , we have  $x P_i^x b$ . This implies that b does not maintain its position in all possible scenarios.

Hence, assuming that  $D$  does not satisfy the Minimal Reversals Condition imply a contradiction, concluding the proof.  $\star$